

1 **Identification of stress biomarkers for drought and increased soil temperature in seedlings of**
2 **European beech (*Fagus sylvatica* L.)**

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Abstract

Drought is an environmental stress that impacts plant productivity. Projections show both an increase in intense rain events and a reduction in the number of rain days, conditions that leads to increased risk of drought. Consequently, the identification of molecular biomarkers suitable for evaluating the impact of water deprivation conditions on forest plant seedlings is of significant value for monitoring purposes and forest management. In this study we evaluated a biochemical methodology for the assessment of drought stress coupled to variable soil temperature in European beech (*Fagus sylvatica* L.) seedlings by analyzing a set of metabolites and enzymes involved in free radical scavenging and cell wall synthesis. The results indicate that the specific activities and isoform profile of superoxide dismutases and glutathione peroxidases together with the variation of phenolic compounds enable discrimination between seedlings with different degree of photosynthetic activity. This approach represents a promising platform for the assessment of drought stress in forest trees and could serve for enhancing selection and breeding practices allowing for plants more tolerant of abiotic stress.

Keywords: beach; drought; superoxide dismutase; oxidative stress; glutathione peroxidase;

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56 **1. Introduction**

57 Plants are exposed to different environmental stress factors that affect their growth and development.

58 Drought is considered the single most critical environmental stress, which decreases plant productivity

59 more than any other environmental stress (Reichstein et al. 2013; Running et al. 2004). From the plant

60 perspective, drought is the deficit of moisture required for normal plant growth, development and

61 completion of the life cycle (Manivannan et al. 2008a). Water deficit arises from insufficient available soil

62 water because of reduced rainfall and elevated evaporation during the growing season . Climate

63 projections show a reduction in the number of rainy days and an increase in evaporation in temperate areas

64 due to elevated temperatures, a process that will cause increased risk of drought (Heyder et al. 2011;

65 Trenberth 2011; Williams et al. 2013). The effects of drought are expected to be more intense in disturbed

66 forest ecosystems (e.g. due to logging, forest fires, and bark beetle outbreaks) with reduced vegetation

67 cover as deforestation increases ground surface temperature (Lewis 1998) in addition to general increase

68 in temperature due to global change. As tree seedlings have shallow roots systems, the reforestation in

69 such sites might be particularly hampered.

70

71 Drought increases the amplitude of oxidative stress in plant tissues, in which reactive oxygen species

72 (ROS), such as superoxide radical, hydroxy radical, hydrogen peroxide and alkoxy radical are produced

73 (Cruz de Carvalho 2008; Lewis 1998; Moran et al. 1994; Sharma and Dubey 2005). Superoxide radicals

74 are rapidly dismutated by superoxide dismutase to hydrogen peroxide that can be eliminated by different

75 enzymes such as peroxidases and catalases. These metalloenzymes constitute an important primary

76 defense of cells against ROS and their activity is usually augmented during stress conditions (Manivannan

77 et al. 2008b; Sharma and Dubey 2005).

78

79 European beech (*Fagus sylvatica*) is one of the most important deciduous trees in central European forests

80 where it covers about 12 million hectares of land (Teissier du Cros et al. 1981). Changes in quantity and

81 quality of beech wood could have a substantial ecological and economic impact. The identification of both
82 qualitative and quantitative stress-related biomarkers suitable for validating drought stress models adapted
83 to beech will be of outmost importance for forest industry and farming, as it has been in plant and human
84 diagnostics (Carvajal-Hausdorf et al. 2015; Weber-Lotfi et al. 2005; Yin et al. 2016). Apart from industrial
85 importance, sheer abundance of beech in temperate central European forest ecosystems makes this species
86 critical to the overall forest health and ecosystem functioning.

87
88 In a previous work we explored the opportunity to use biochemical markers for monitoring temperature
89 stress in European beech. The promising results prompted us to improve the methodologies for the
90 identification of appropriate biomarkers for beech seedlings related to drought stress and drought stress in
91 combination with increased soil temperatures. To achieve this, several biochemical parameters were
92 compared to physical and physiological parameters.

93

94 **2. Material and methods**

95 **2.1 Plant material**

96

97 One-year old beech seedlings of provenance Osankarica 2.0119 (1240 m a.s.l., 46°27' N, 15°23' E) grown
98 from seeds were obtained from the tree nursery Omorika d.o.o., Muta, N Slovenia, and planted in
99 rhizotrons (one seedling per rhizotron) at Slovenian Forestry Institute in Ljubljana, central Slovenia on
100 22.3.2011. The external size of the rhizotrons measured 30x50x3 cm, while the internal size was 28x49x2
101 cm. The bottom third of the rhizotrons was filled with sand to allow for water draining, while the upper
102 two thirds were filled with dystric cambisol originating from sandstone and slate ground rock collected
103 from the upper soil horizon (0 to 30 cm) in a mixed forest in the vicinity of the Slovenian Forestry
104 Institute. The soil was sieved through a 5x5 mm sieve, autoclaved and mixed with quartz sand, vermiculite
105 and perlite in ratio 5:5:1:1. No fertilizer was used during the experiment.

106

107 The rhizotrons were transferred into a climatized room (IMP Klima, Godovič, Slovenia) set to 16 °C.
108 Twelve seedlings were planted per rhizotron with the belowground portion protected from light, while 14
109 seedlings were placed in a cooled rhizotron the belowground part. Rhizotrons were arranged one by
110 another in a way that between two consecutive seedlings there was one rhizotron filled only with soil to
111 prevent intertwining of seedlings. Rhizotrons were placed vertically with slight inclination. The
112 temperature of refrigerator was set to four degrees below the air temperature. The treatment with cooling
113 of belowground part was used to reproduce the natural temperature gradient from aboveground to
114 belowground in intact forests, while treatment without cooling of roots represented disturbed forest sites
115 without protective vegetation cover and therefore increased soil temperatures. Soil temperatures for the
116 treatment with cooled belowground were comparable with the maximum summer soil temperatures at a
117 depth of 30 cm at the origin of the provenance of the seedlings used in this study.

118
119 Soil temperature in all treatments was monitored at -20 cm depth using factory calibrated digital
120 temperature sensors DS18B20 connected to a datalogger developed at SFI, while air temperature and
121 humidity were logged by USB dataloggers Voltcraft DL-120TH (Conrad Electronic, UK). A summary of
122 the physical parameters during the seedling growth is reported in Table 1. Seedling were irrigated with
123 automatic watering system with frequency domain soil moisture sensors EC-5 (Decagon Devices Inc.,
124 Pullman,USA). At 18.6.2014, the watering of half of the non-cooled seedlings and half of the cooled
125 seedlings was stopped (the onset of drought experiment), resulting in four treatments: seedlings with
126 cooled roots + water (CCRW+, 7 seedlings), seedlings with cooled roots – water (CCRW-, 7 seedlings),
127 seedlings with non-cooled roots + water (NCRW+, 6 seedlings) and seedlings with non-cooled roots –
128 water (NCRW-, 6 seedlings). Each treatment was replicated once. Just before drought treatment height of
129 the seedlings was measured with tape meter and stem diameter two centimeters above the root neck
130 recorded.

131

132 With the onset of drought experiment, soil moisture was monitored additionally with the frequency domain
133 sensor in every rhizotron twice per week and soil moisture in CCRW+ and NCRW+ treatments corrected
134 by hand watering, when recording of soil moisture of watered seedlings on frequency domain sensor fell
135 below 1000 mV (corresponding to soil matrix potential of approximately -0.15 MPa). For the soil used to
136 fill the rhizotrons in our experiment, gravimetric calibration of the EC-5 sensor in a cylinder of 0.8 dm³
137 was done. At the same time, the soil matrix potential used in our experiment was measured with a MPS-1
138 sensor (Decagon Devices Inc.). Recalculation of the automatic irrigation system threshold provided true
139 values of SWC and soil matrix potential used in our experiment. Intensity of photosynthetically active
140 radiation just above the seedlings was around 300 $\mu\text{mol m}^{-2}\text{s}^{-1}$. Measurements of gas exchange, stomatal
141 conductance, transpiration and photochemical efficiency of s-PSII were performed with Li-COR 6200 on
142 16.6. (2 days before onset of drought treatment), 8.7. (21 days after the onset of drought treatment), 23.7.
143 (36 days after drought treatment) and 20.8.2014 (64 days after the onset of drought treatment), between 9-
144 11 am. Measurements were performed on one fully developed leaf per plant from the middle of the crown
145 at 400 $\mu\text{mol CO}_2 \text{ mol}^{-1}$, photosynthetic photon flux density of 300 $\mu\text{mol m}^{-2} \text{ s}^{-1}$. Leaf temperature varied
146 according ambient temperature but was kept constant during measurement. Water vapour pressure deficit
147 ranged from 0.70 to 1.30 kPa. Leaves were subsequently harvested and chlorophyll content measured with
148 SPAD meter. Relative water content of leaves was measured on leaves used for chlorophyll
149 measurements. Leaves were weighed, put onto moist filter paper and incubated for 24 hours at 5°C in the
150 refrigerator. Turgid leaves were weighed again, dried and their dry weight recorded. From this data, leaf
151 relative water content was calculated according to the following formula: $\text{RWC(l)} (\%) = (\text{fresh} - \text{dry}$
152 $\text{weight}) / (\text{turgid} - \text{dry weight}) \times 100$. On 23.7. and 20.8.2014, additional three leaves per plant were
153 randomly collected and frozen at -80°C until further analysis. However, leaves collected on 20.8.2014
154 from drought-treated plants were too dry to recover material sufficient for a complete biochemical
155 analysis. Since the major scope of the work was to identify early-stage biomarkers of drought stress,
156 comparative biochemical measurements were performed only with the samples recovered in July .

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2.2 Homogenization and extraction of plant material

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160 Plant material used in the experiment was obtained by sampling the seedling in the 21st day after the onset
161 of drought treatment. Plant material used in the experiment was obtained by sampling the seedling in the
162 21st day after the onset of drought treatment. Working temperature throughout the experiments was kept at
163 4°C. Homogenization was performed on ice using mortar and pestle. Solvents used for homogenization
164 were precooled overnight at 4°C. Prior to freezing, each individual leaf was weighed. Individual leaves
165 were homogenized using 1 mL of appropriate solvent per 0.1 g of weighed material (Table 2). Frozen
166 material was thawed during homogenization in a cooled extraction buffer. For analysis of enzymatic
167 activity and protein content, plant material was extracted in 50 mM Tris-HCl buffer, pH 7.4, while 80%
168 methanol in water was used for the analysis of phenolic compounds. Plant homogenate was rocked at 4°C
169 for 2 hours after which it was centrifuged 10 min at 14,000xg at 4°C. The supernatant was used for the
170 experiments after determination of total protein concentration by using Quant-ITTM protein assay (Life
171 Technologies, USA) according to the manufacturer's instructions.

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2.3 Determination of total phenolic content

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175 Total phenolic content was determined using Folin-Ciocalteu reagent (Singleton and Rossi, 1965). Ten
176 microliters of extract were mixed with 75 µL of 10-fold diluted Folin-Ciocalteu reagent and incubated 5
177 min at 22°C before the addition of 75 µL of sodium bicarbonate (0.72 M) solution. Absorbance was
178 measured at 620 nm using a HTS7000 Bioassay reader (Perkin Elmer, USA) after 90 min of incubation
179 at 22°C. Results are expressed as gallic acid equivalents per mL of solution. Triplicate measurements were
180 performed for each sample.

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2.4 Measurement of peroxidase enzyme activities

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184 Peroxidase activity (POX) was detected using o-dianisidine (Sigma-Aldrich, Germany) as a substrate
185 (Pine, Hoffman. 1984). The reaction mixture was prepared by mixing 20 mL of 50 mM phosphate buffer,
186 pH 7.0 with 9.79 mM hydrogen-peroxide and 0.2 mL o-dianisidine solution in methanol 11.1 mM. The
187 reaction was initiated by adding plant extract (50 μ L) to the reaction mixture (900 μ L) in the measuring
188 cuvette. After accurate stirring, the change in absorbance at 430 nm was read for 5 min at RT using a
189 Perkin Elmer lambda 35 UV/Vis spectrophotometer (Perkin Elmer, USA). One unit of peroxidase activity
190 was defined as the amount of the enzyme that oxidizes o-dianisidine into 1 μ M of bis-(3,3'-dimethoxy-4-
191 amino) azodiphenyl per min at 25°C with the extinction coefficient 30 $\text{mM}^{-1} \text{cm}^{-1}$. Triplicate
192 measurements were performed for each sample.

194 2.5 Measurement of superoxide dismutase enzyme activities

196 Total superoxide dismutase (SOD) activity was assayed by its ability to inhibit photochemical reduction of
197 nitrobluetetrazolium (NBT, Serva, Germany) to blue formazan (Winterbourn et al. 1975). The reaction
198 mixture contained 50 mM phosphate buffer, pH 7.8, 0.66 mM EDTA, 10 mM L-methionine, 33 μ M NBT,
199 and 3.3 μ M riboflavin. The reaction was initiated by adding plant extract (50 μ L) to the reaction mixture
200 (200 μ L). After mixing, samples were illuminated with sunlight for 10 min and absorbance at 492 nm was
201 recorded using HTS7000 Bioassay reader (Perkin Elemer, USA). The blank was prepared by mixing
202 extraction buffer with reaction mixture and kept in the dark while positive control was prepared in the
203 identical manner and exposed to sun light same as the samples. One unit was defined as the amount of
204 protein causing a 50% inhibition of NBT photoreduction. To determine the contribution of the single
205 enzyme isoforms, 0.4% H_2O_2 was used for simultaneous inhibition of both forms Cu/ZnSOD and FeSOD,
206 while 5 mM KCN was applied for specific Cu/ZnSOD inhibition (Sandalio et al. 1987). MnSOD activity
207 was calculated as the residual activity after H_2O_2 inhibition. The H_2O_2 - and KCN-sensitive activity was
208 attributed to Cu/ZnSOD while FeSOD activity was inferred by subtracting Cu/ZnSOD activities from
209 H_2O_2 -inhibited SOD activity. Triplicate measurements were performed for each sample.

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2.6 Measurement of glutathione peroxidase enzyme activities

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2.7 Native PAGE

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2.8 Statistical analyses

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Glutathione peroxidase (GPX) activity was assessed by measuring the H₂O₂-dependent oxidation of glutathione GSH into GSSG (Wendel 1980). GSSG content was then determined in a coupled reaction in which glutathione reductase reduced the substrate into GSH oxidizing NADPH into NADP. The reaction mixture contained 48 mM sodium phosphate, pH 7.8, 0.38 mM EDTA, 0.12 mM NADPH, 3.2 U of glutathione reductase, 1 mM GSH, 0.02 mM DL-dithiothritol, and 2.28 mM H₂O₂. The rate of NADPH oxidation measured at 340 nm for 3 min was recorded using a HTS7000 Bioassay reader (Perkin Elemer, USA). One unit is defined as the amount of protein able to catalyze the oxidation of 1 μM of GSH per min at pH 7.0 and RT. Triplicate measurements were performed for each sample.

For in-gel analysis of enzymatic activity, aqueous plant extracts were resolved under non-reducing conditions in a discontinuous buffer system using a vertical electrophoresis slab system (Hoefler, Holliston, USA) with a 4 % (w/v) stacking and a 10 % (w/v) resolving gel (Table 2). Each gel lane was loaded with 12.5 μg of total protein. POX activity was identified according to a modified Quesada protocol (Quesada et al. 1990). After electrophoresis, the gel was washed twice with 50 mM acetate, pH 6.0, after which it was incubated 1 hour in 50 mM acetate, pH 6.0, 28 mM o-dianisidine, and 36.4 mM H₂O₂.

Statistical analysis was performed using GraphPad Prism v5.03 for Windows (San Diego, California, USA). A significance level of $p \leq 0.05$ was used for analysis of variance, implemented using the Kruskal-

236 Wallis test followed by the Tukey's post-hoc test ($p \leq 0.05$). Correlation between different parameters
237 was performed at significance level of $p \leq 0.1$. Unsupervised hierarchical clustering and heatmap
238 generation was accomplished using ClustalVis (Metsalu and Vilo 2015) with the Manhattan method and
239 Pearson correlation for the distance measure. For heatmap generation, measured values were standardized
240 across the two cohorts by conversion to Z-scores (peak height-mean/standard deviation).

241

242 **3 Results**

243

244 **3.1 Effect of growth parameters on physiological parameters**

245

246 The experimental growth conditions were chosen to reproduce the natural temperature gradient from
247 aboveground to belowground in intact (cooling of belowground part: CCRW) and disturbed forest sites
248 without protective vegetation cover and consequently increased soil temperatures (NCRW).

249

250 Height of seedlings grown at control growth conditions (CCRW) was 37.0 ± 1.91 cm, and 27.7 ± 2.16 for
251 seedlings grown at increased soil temperatures (NCRW). Stem diameter was 6.25 ± 0.30 mm for CCRW
252 seedlings and 5.58 ± 0.14 mm for NCRW seedlings. Similarly, reduced stem diameter, as well as reduced
253 root and shoot biomass, was detected in beech seedlings grown at increased soil temperature in
254 experiment with the same beech provenance and growth conditions conducted by Štraus et al. (2014),
255 indicating the limiting effect of increased soil temperatures on growth.

256

257 In present experiment, the combined effects of drought and soil temperatures were tested. Soil matrix
258 potential of non-watered seedlings decreased rapidly after the onset of drought treatments, regardless of
259 soil temperature. Visually, drought symptoms were observed as yellowing and drying of smaller leaves,
260 first symptoms were noticed at around -0.5 MPa in both groups 17 days after the onset of drought.
261 However, for fully developed leaves relative leaf water contents between treatments were, similarly to

262 pre-treatment measurement, not significantly different between each other 21 days after the onset of
263 experiment (Kruskal-Wallis test, $H=5.5881$, $p=0.1335$), although there was a decreasing tendency for
264 NCRW- already observed (Fig. 1). Thirty-six days after the onset of the experiment, relative water content
265 of NCRW- treatment (less than 20 %) was significantly different from both watered treatments, CCRW+
266 and NCRW+ (Kruskal-Wallis test, $H=15.6931$, $p=0.0013$). Slightly reduced values of relative water
267 content (around 60%) were observed also for CCRW- treatment. Relative water contents of leaves for
268 both drought treatments have further decreased in the last sampling, 64 days after the onset of drought
269 treatment.

270
271 One month after the onset of drought, soil matrix potential decreased below -0.6 MPa and stayed at around
272 -0.7 MPa until the end of the experiment (Fig. 2a). Zang et al. (2014), regarded soil matrix potential of $-$
273 0.4 MPa as moderate drought for beech seedlings in rhizotrons, while less than -1.0 MPa was assigned as
274 severe drought stress where irreversible damage occurs. Both, net photosynthesis and stomatal
275 conductance correlated well with soil matrix potential (Fig. 2b, c).

276
277 Net photosynthesis levels were very low in all treatments (Fig. 2b), with the maximum of $5.25 \mu\text{mol}$
278 $\text{CO}_2\text{m}^{-2}\text{s}^{-1}$ achieved in the watered seedlings with non-cooled roots (NCRW+). Seedlings with non-cooled
279 roots exposed to drought stress (NCRW-) exhibited levels of net photosynthesis below zero already at day
280 21 after the onset of drought treatment (soil matrix potential -0.70 MPa, Figs. 3b), while positive net
281 photosynthesis was still observed after 36 days in seedlings with cooled roots exposed to drought (CCRW,
282 soil matrix potential -0.65 MPa, Figs. 3b). At day 64 we were unable to measure gas exchange in both
283 drought treatments (Fig. 3a-c), with soil matrix potential < -0.75 MPa (Fig. 2a). Altogether, our results
284 show that under the designed experimental conditions the variations of soil matrix potential were well
285 mirrored in net photosynthesis (Fig. 2b) and stomatal conductance (Fig. 2c). Negative net photosynthesis
286 in non-cooled seedlings exposed to drought was accompanied by very low stomatal conductance (Fig. 3b),
287 but high intercellular CO_2 concentration (Fig. 3c), indicating severely reduced ability of photosynthetic

288 apparatus to assimilate CO₂, although chlorophyll levels were still relatively high (Fig. 3d). In this
289 condition respiration significantly exceeded photosynthesis (Fig. 3a). Thirty-six days after the onset of
290 drought, seedlings with cooled roots still maintained internal CO₂ concentrations comparable with watered
291 treatments (Fig. 3c), although stomatal conductance was significantly lower than in both watered
292 treatments (Fig. 3b). Assuming the approximation that net photosynthesis inversely correlates with plant
293 stress, the data confirm that higher soil temperatures can be relatively well tolerated in the presence of
294 water availability (NCRW+), but substantially increase the stress conditions when water becomes limiting
295 (NCRW-).

297 **3.2 Effects of drought on total phenolic content and enzyme activity**

298
299 The minimal total phenolic content was determined in the fittest sample (NCRW+) whereas it was three
300 times lower than in all the others (Fig. 4, Fig 8.). This result indicates that total phenolic content is a
301 valuable biomarker for assessing photosynthetic stress, as recently demonstrated for salt-induced plant
302 stress (Aloisi et al. 2016), although it does not discriminate between drought and conditions characterized
303 by low soil temperature.

304
305 Next we measured the activity of some key enzymes involved in the scavenging of active oxygen forms.
306 Peroxidase (POX) activity (Fig. 5a, Fig 8.) did not seem to be influenced by drought stress. Only the total
307 activity of the CCRW+ sample significantly increased. The analysis of the different isoforms in the
308 zymograms revealed an extremely complex pattern (Fig. 5b, Fig 8.). Since POXs are also involved in
309 other metabolic activities, conclusions could be inferred only after a precise and time consuming
310 characterization of each isoform. Therefore, despite their high stability and the simple measurement of
311 their activity, in the case of drought-effect evaluation POXs do not fulfil the requisites of clarity and
312 measurement simplicity that a biomarker should possess.

313

314 In this context, SOD appeared as an extremely more attractive candidate for discriminating among stress
315 conditions characterized by different level of photosynthetic capacity. Total SOD activity of NCRW+ was
316 the lowest of the four samples (Fig. 6a, Fig 8.) and other interesting discriminating parameters are offered
317 by the specific activities of the single SOD isoforms. MnSOD and FeSOD specific activities of NCRW+
318 were half of that measured in the other samples, whereas the same seedlings showed negligible differences
319 in Cu/ZnSOD activity in comparison to the other samples (Fig. 6b, Fig 8.).

320
321 Similarly to FeSOD, also glutathione peroxidase activity seems a very useful biomarker of drought stress.
322 It directly correlated with the seedling photosynthetic activity, being maximal in NCRW+ and
323 significantly higher also in CCRW+ in comparison to drought-stressed samples (Fig. 7, Fig 8.).

324

325 **4 Discussion**

326

327 Plants, as sedentary organisms, have to adapt their physiology to environmental changes in order to
328 survive and thrive. Out of all environmental stressors, drought has the most detrimental impact on plant
329 growth, productivity and survival (Pompelli et al. 2010). Under drought stress, photosynthesis decreases
330 due to stomatal limitation when light energy absorption exceeds its capacity for utilization (Cornic 2000).
331 The excess light energy, which is neither consumed in photosynthesis nor dissipated as fluorescence or
332 heat, is transferred to oxygen or neighboring molecules, creating ROS, including superoxide anion,
333 hydrogen peroxide, singlet oxygen, and hydroxyl free radical (Silva et al. 2010). This causes oxidative
334 damage to cellular components and structures and disrupts metabolism, which finally leads to cell death
335 (Silva et al. 2010). Alleviation of oxidative stress relies on combination of both enzymatic and non-
336 enzymatic systems and there are numerous reports dealing with changes in antioxidative factors in
337 response to drought stress (Liu et al. 2014; Marabottini et al. 2001; Uzilday et al. 2012).

338

339 In this study we aimed at using a set of simple biochemical tests to evaluate the possibility of their
340 application as early markers of drought in the industrially important and highly abundant European beech.
341 The most important quality of a biomarker is its ability to clearly discriminate between stressed and
342 control samples. Furthermore, its recovery, processing, and analysis should be simple, reliable, and allow
343 for large-scale data comparison among samples collected under variable field conditions. Enzymes of
344 antioxidative defense are logical choice since their activity changes during many physiological and
345 pathological processes (Cruz de Carvalho 2008; De Marco and Roubelakis-Angelakis 1996; Jenks and
346 Hasegawa 2005; Lipiec et al. 2013) in plants. Peroxidases fit the desired biomarker criteria as they are
347 easy to detect, process and analyze (Running et al. 2004) but are not suitable for describing the specific
348 stress conditions tested in the present experiments. Probably a further effort would be necessary to identify
349 the isoforms specifically involved in drought stress to distinguish them from those playing complementary
350 physiological roles. In our case, we cannot rule out that the experimentally imposed growth conditions
351 induced additional stresses to the drought. For instance, seedlings with cooled roots could be subjected to
352 nutrient shortage as they were grown for several years without addition of nitrogen and it is well known
353 that nitrogen has lower availability at lower soil temperatures due to lower nitrogen mineralization (Zhou
354 et al. 2011). This could explain the experimental deviation of some measured parameters between
355 NCRW+ and CCRW+ samples. In any case, we identified some biochemical markers able to discriminate
356 between seedlings with elevated and scarce photosynthetic activity. The most selective changes induced
357 by drought and temperature affected the activity of FeSOD and GPX, whereas other biomarkers monitored
358 broader stress conditions. MnSOD could be potentially a very useful marker in conditions where drought
359 stress is combined with higher soil temperature.

360
361 Similarly to what recently observed in salt- and cadmium-stressed plants, phenolic content significantly
362 increased in drought-treated samples and in seedlings with sufficient water supply but constantly cooled
363 roots. As phenolics in trees increase due to drought stress ((Grulke and Tausz 2014)) and decrease due to
364 elevated temperatures (Zvereva and Kozlov 2006) this is a logical outcome. In contrast, glutathione

365 peroxidase activity was strongly and selectively decreased only in drought-stressed seedlings with respect
366 to those in which photosynthesis was active due to water availability. The high glutathione peroxidase
367 activity in these plants would suggest the necessity to cope with ROS in photosynthetically active cells.
368 SOD isoforms present in the seedlings represent another group of highly informative biomarkers. Their
369 expression and activity is regulated by different stress factors (Grulke and Tausz 2014) and in the case of
370 beech seedlings it seems that stress conditions strongly activated the mitochondrion-specific MnSODs as
371 well as the (putative) chloroplast-specific FeSODs, whereas the Cu/ZnSOD activity was not significantly
372 challenged. The physiological explanation of these changes is beyond the aim of this work, but the
373 hypothesis of oxidative stress in chloroplasts subject to conditions of limiting water supply and in
374 mitochondria over-activated due to the lack of other suitable cellular energy sources seems plausible. It
375 has been already reported that active oxygen unbalance caused by methyl viologen activated the
376 expression of new FeSOD isoforms in the chloroplasts (Zvereva and Kozlov 2006) and that effective
377 MnSOD activity in the mitochondria protected rice from drought-induced stress (Prakash et al. 2016). The
378 constant Cu/ZnSOD activity in all the samples would rather correspond to housekeeping activities such as
379 cell-wall synthesis (Kim et al. 2008). It will be meaningful to confirm the apparent high inverse
380 correlation between FeSOD and photosynthetic activity by measuring the expression variation of other
381 proteins involved in FeSOD activity, as for instance chaperonin 20 (Kuo et al. 2013).

382
383 The statistical analysis indicates that both enzyme biomarkers and phenolic compounds can be effectively
384 exploited to discriminate between seedlings grown under different conditions. The dendrogram and
385 accompanying heat map further depict the relative incidence of such biomarkers in the analyzed samples
386 and graphically indicate that the observation of biomarker combinations is more informative than the
387 quantification of single biomarkers (Fig 8).

388
389 The collected results clearly indicate the feasibility of using a set of biomarkers as a tool for evaluating the
390 physiological condition of sampled plants thus enabling insight into the overall health conditions of a

391 forest region and the impact of environmental stressors on it. Furthermore, coupling the variation of more
392 biochemical parameters could represent a simple and reproducible monitoring method for detection of
393 early signs of water deprivation. This approach developed for seedlings could be a promising platform for
394 the evaluation of drought stress in adult forest plants in combination with orthogonal techniques such as
395 genomic and proteomic analyses. We consider the analyses of other biomarkers for sensing milder stress
396 conditions, as contained temperature variations. For their nature, heat shock proteins seem appropriate
397 candidates for this application, as already proved for crops and proposed for studying heat acclimation
398 (Driedonks et al. 2015; Jacob et al. 2016). Establishment of this type of monitoring could contribute in
399 improving the selection and breeding practices as they would simplify the identification of more resilient
400 and adaptable clones.

401

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403

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410

411 **6 Compliance with ethical standards**

412

413 The authors declare that they have no conflict of interest. This research did not involve any Human
414 participants and/or Animals. All the authors have made a significant contribution to this manuscript, have
415 seen and approved the final manuscript, and have agreed to its submission to the Canadian Journal of
416 Forest Research.

- 417
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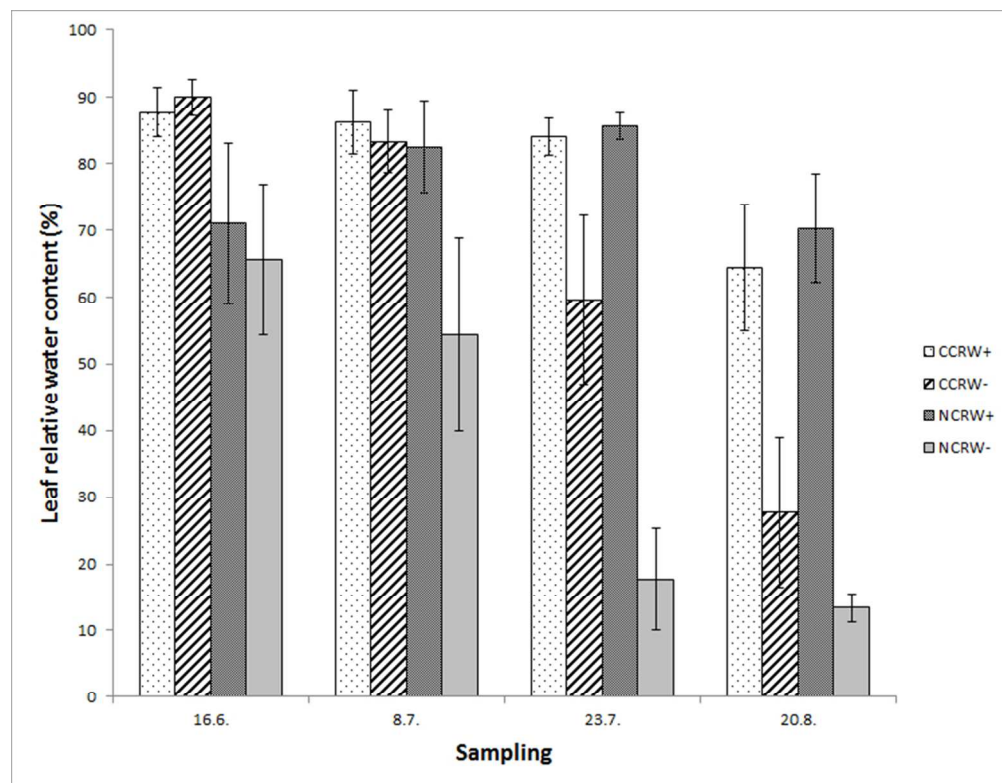


Figure 1: Leaf relative water content (%) of beech seedlings for treatments: NCRW+- Samples grown at 16°C with watering; CCRW-- Samples grown at 16°C with roots cooled to 12°C without watering; NCRW-- Samples grown at 16°C without watering. Sampling on 16.6.2014 was performed two days before the onset of drought experiment. Samplings on 8.7., 23.7. and 20.8.2014 were performed 21, 36 and 64 days after the onset of drought experiment, respectively.

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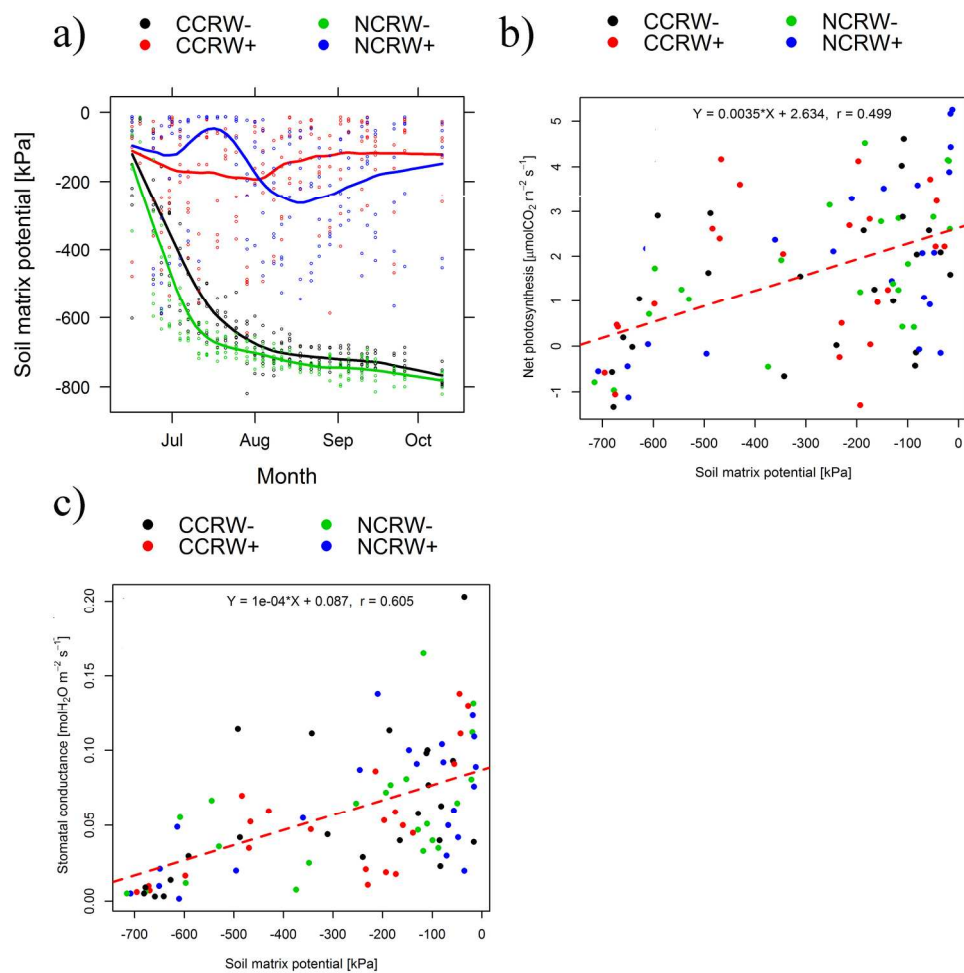


Figure 2. Time series of soil matrix potential in beech seedlings subjected to different soil temperatures and drought (A). Correlation between net photosynthesis and soil matrix potential in beech seedlings subjected to different soil temperatures and drought, $p=0.0000$ (B). Correlation between stomatal conductance and soil matrix potential in beech seedlings subjected to different soil temperatures and drought, $p=0.0000$. (C) NCRW+- Samples grown at 16°C with watering; CCRW-- Samples grown at 16°C with roots cooled to 12°C without watering; NCRW-- Samples grown at 16°C without watering

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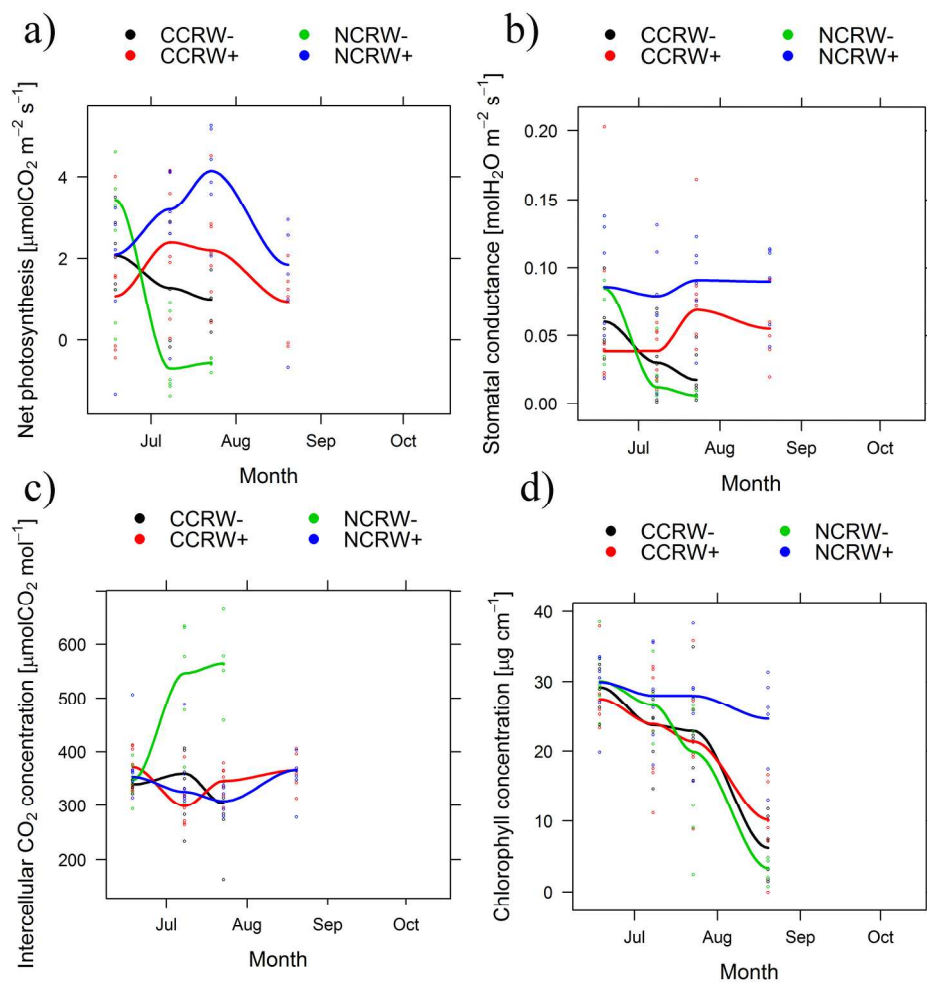


Figure 3. Time series of physiological parameters in beech seedlings subjected to different soil temperatures and drought: CCRW+- Samples grown at 16°C with roots cooled to 12°C with watering; NCRW+- Samples grown at 16°C with watering; CCRW-- Samples grown at 16°C with roots cooled to 12°C without watering; NCRW-- Samples grown at 16°C without watering.

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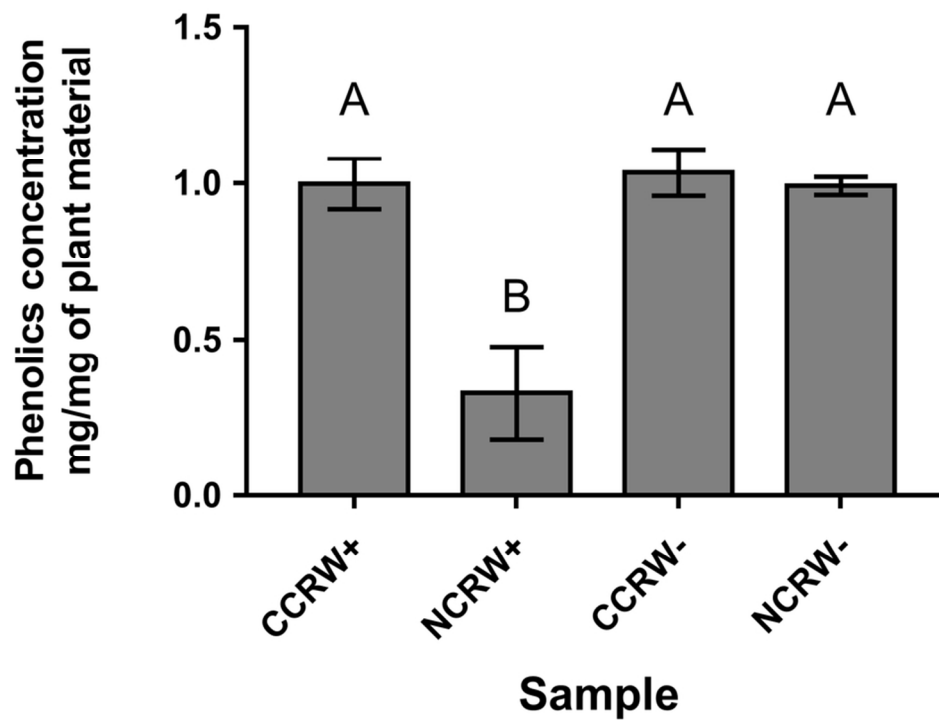


Figure. 4. Determination of total phenolic content of plant methanol extracts: Total phenolic concentration in plant methanol extracts expressed as galic acid equivalents: CCRW+- Samples grown at 16°C with roots cooled to 12°C with watering; NCRW+- Samples grown at 16°C with watering; CCRW-- Samples grown at 16°C with roots cooled to 12°C without watering; NCRW-- Samples grown at 16°C without watering. The errors bars indicate standard deviations for triplicate measurements. Means with different letters are significantly different (Turkeys HSD, $p \leq 0.05$)

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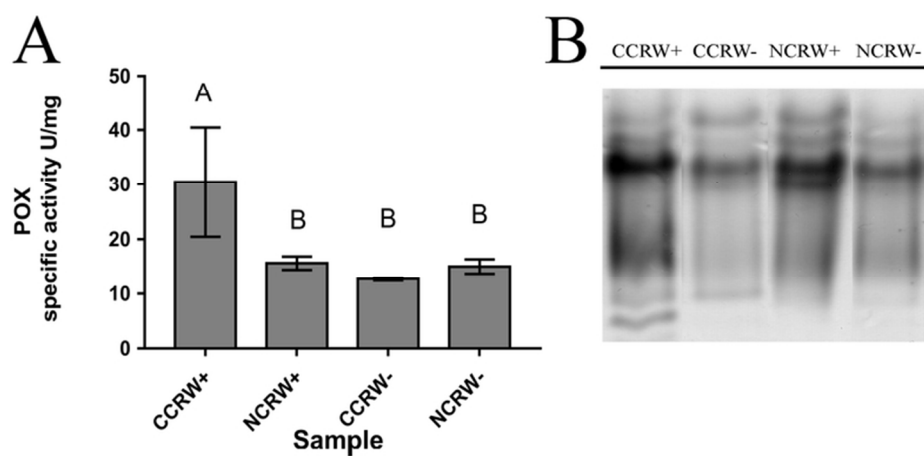


Figure. 5. Specific enzymatic activities of peroxidase in plant extracts (A); Electrophoretic separation of peroxidase isoforms (B): CCRW+- Samples grown at 16°C with roots cooled to 12°C with watering; NCRW+- Samples grown at 16°C with watering; CCRW-- Samples grown at 16°C with roots cooled to 12°C without watering; NCRW-- Samples grown at 16°C without watering. The errors bars indicate standard deviations for triplicate measurements. Means with different letters are significantly different (Turkeys HSD, $p \leq 0.05$)

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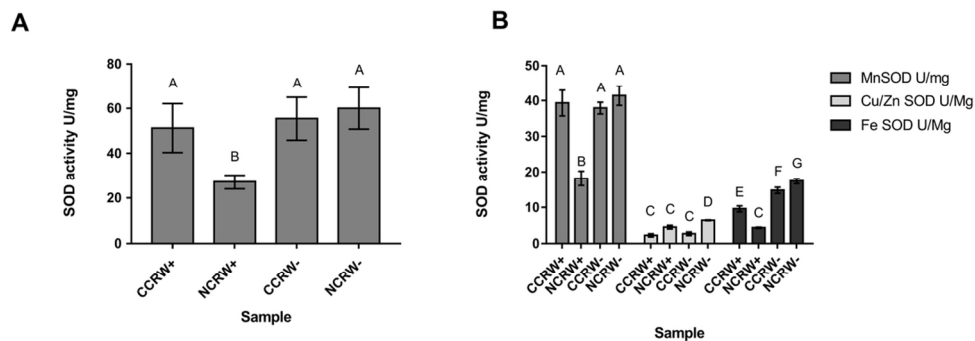


Figure. 6. Total specific enzymatic activities of superoxide dismutase (A) and specific activity of different SOD isoforms (B) in plant extracts: CCRW+- Samples grown at 16°C with roots cooled to 12°C with watering; NCRW+- Samples grown at 16°C with watering; CCRW-- Samples grown at 16°C with roots cooled to 12°C without watering; NCRW-- Samples grown at 16°C without watering. The errors bars indicate standard deviations for triplicate measurements. Means with different letters are significantly different (Turkey's HSD, $p \leq 0.05$)

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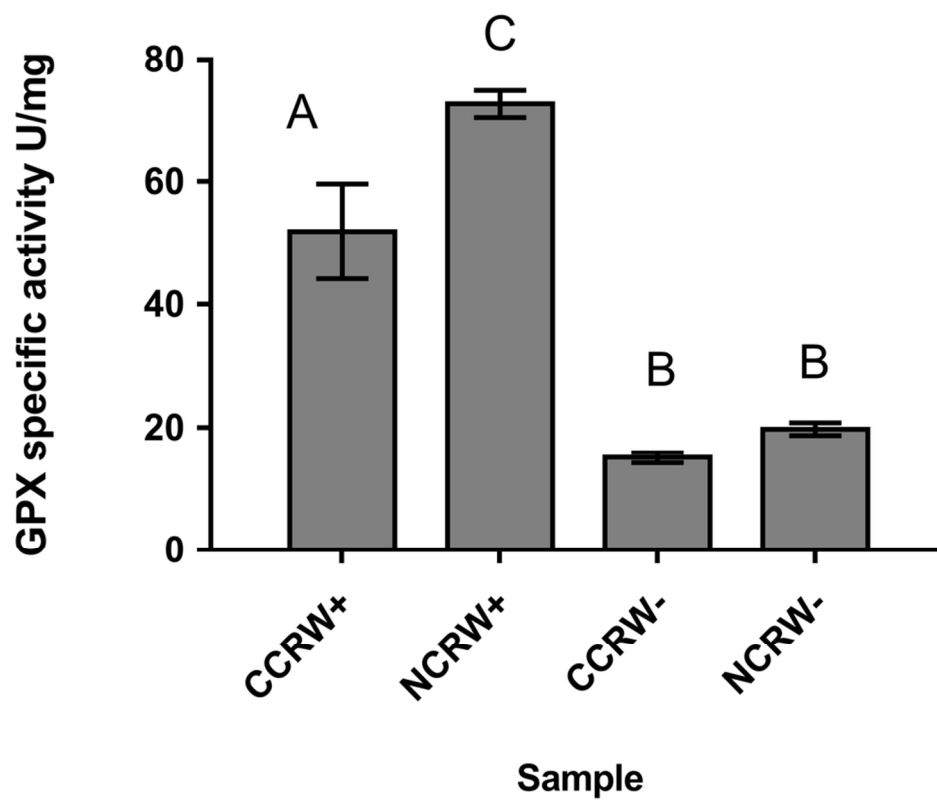


Figure 7. Specific enzymatic activities of glutathion peroxidase in plant extracts: CCRW+- Samples grown at 16°C with roots cooled to 12°C with watering; NCRW+- Samples grown at 16°C with watering; CCRW-- Samples grown at 16°C with roots cooled to 12°C without watering; NCRW-- Samples grown at 16°C without watering. The errors bars indicate standard deviations for triplicate measurements. Means with different letters are significantly different (Turkeys HSD, $p \leq 0.05$)

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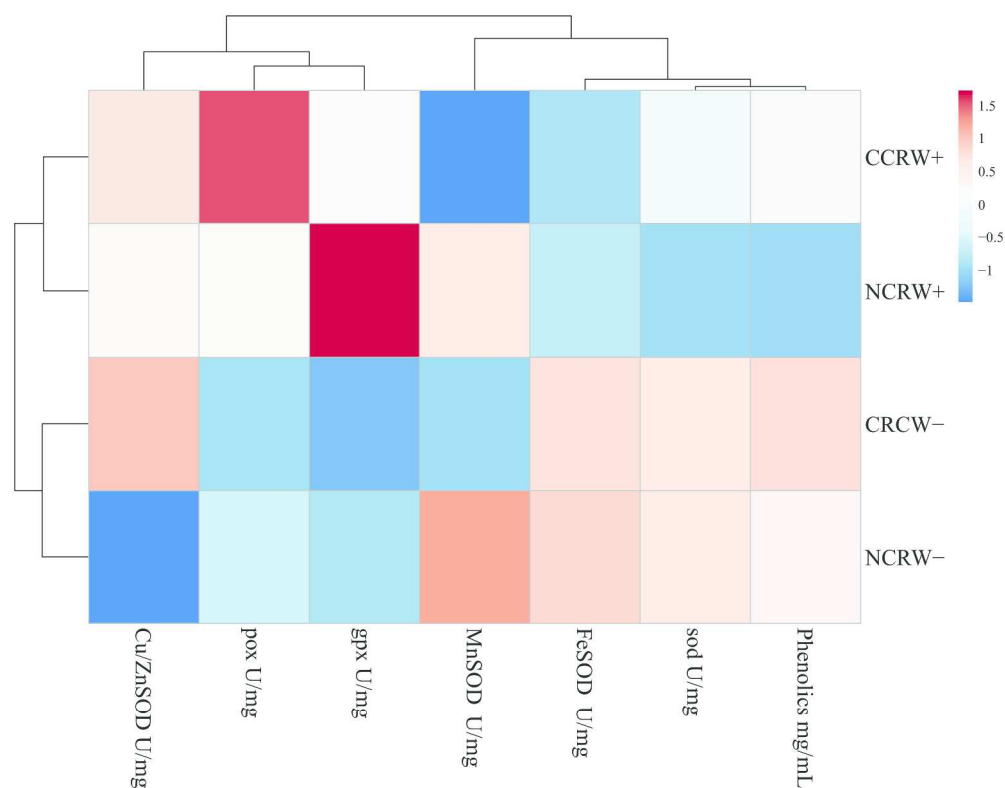


Figure 8. Heat map showing unsupervised hierarchical clustering of different biomarker values according to the seedling growth conditions: Measured biomarkers for beech seedlings are arranged in columns while growth conditions are in rows. Shades of red represent elevation of a metabolite while shades of blue represent decrease of a biomarker value, relative to the median levels (see color scale). In the dendrograms, the clustering clearly differentiates the stressed and control samples. Total phenolic concentration in plant methanol extracts is expressed in galic acid equivalents mg/ml (phenolics, mg/ml);. Specific enzymatic activities of peroxidase (POX, U/mg), superoxide dismutase (SOD, U/mg), glutathione peroxidase (GPX, U/mg) are represented in U/mg of total protein.

250x199mm (300 x 300 DPI)

Tree Species	European beech (<i>Fagus sylvatica</i> L.)	
Extraction procedure	50 mM Tris-HCl buffer, pH 7.4	80% methanol
Biomarker assessment	Enzyme specific activity (U/mg): POX, SOD, GPX Enzyme isoform distribution (Native PAGE): POX	Phenolic concentration (µg/mL)

Table 2: Experimental set-up

POX: peroxidase, SOD: superoxide dismutase, GPX: glutathione peroxidase,