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1 Combined multivariate data analysis of high-  
2 performance thin-layer chromatography fingerprints  
3 and Direct Analysis in Real Time mass spectra for  
4 profiling of natural products like propolis

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**Abstract**

Sophisticated statistical tools are required to extract the full analytical power from high-performance thin-layer chromatography (HPTLC). Especially, the combination of HPTLC fingerprints (image) with chemometrics is rarely used so far. Also, the newly developed, instantaneous Direct Analysis in Real Time mass spectrometry (DART-MS) method is perspective for sample characterization and differentiation by multivariate data analysis. This is a first novel study on the differentiation of natural products using a combination of fast fingerprint techniques, like HPTLC and DART-MS, for multivariate data analysis. The results obtained by the chemometric evaluation of HPTLC and DART-MS data provided complementary information. The complexity, expense, and analysis time were significantly reduced due to the use of statistical tools for evaluation of fingerprints. The approach allowed categorizing 91 propolis samples from Germany and other locations based on their phenolic compound profile. A high level of confidence was obtained when combining orthogonal approaches (HPTLC and DART-MS) for ultrafast sample characterization. HPTLC with selective post-chromatographic derivatization provided information on polarity, functional groups and spectral properties of marker compounds, while information on possible elemental formulae of principal components (phenolic markers) was obtained by DART-MS.

**Keywords**

Planar chromatography; High-performance thin-layer chromatography; DART-MS; Fingerprint; Pattern recognition; Propolis

38

## 38 1. Introduction

39 High-performance thin-layer chromatography (HPTLC) is a commonly used technique for  
40 screening of herbal liquid extracts [1-3]. Compared to high-performance liquid chromatography  
41 (HPLC), and to some degree, to gas chromatography (GC) [4], the interdisciplinary approach  
42 using chemometric techniques for data evaluation is rarely employed for TLC or HPTLC [5-9].  
43 Another highly promising technique for chemometric, fingerprint-based sample characterization  
44 is Direct Analysis in Real Time mass spectrometry (DART-MS) [10, 11]. Due to its novelty,  
45 only a few papers have been published on chemometric studies, proving the method's  
46 attractiveness in this field: metabolomics studies for clinical diagnostics [12, 13], origin  
47 assignment of beer [14], characterization of olive oils [15], authentication of animal fats [16] and  
48 *Umbelliferae* medicinal herbs [17]. The concept of DART-MS analysis is different from that of  
49 HPTLC. It is impossible to analyze several samples at the same time, but due to the rapidness of  
50 DART-MS (only a few seconds per analysis of one sample) any changes of the analytical  
51 conditions are minimized from one sample to another with the exception of matrix interferences.  
52 In the majority of cases, HPLC or GC analyses of one sample lasts usually 15-30 min, therefore  
53 changes in the analytical conditions may cause a drift in the results [18, 19]; this can successfully  
54 be avoided using HPTLC or DART-MS analysis. In HPTLC, up to 72 samples can be analyzed  
55 simultaneously under identical conditions, and thus the chromatographic separation of samples  
56 takes less than a minute per sample, often only 20 seconds [20-23], which is an important  
57 prerequisite for maximizing the outcome of further multivariate data analyses.

58 The concurrent use of HPTLC and DART-MS might provide an increased confidence level of  
59 multivariate data analysis because these independent methods deliver complementary  
60 information on the elucidated principal components. In HPTLC, one can get the information

61 about the analytes due to their  $hR_F$  values (evaluation of analytes' polarity), spectral properties  
62 (absorbance, fluorescence) and selective derivatization using different reagents (getting  
63 knowledge on functional groups); while DART-MS provides the  $m/z$  values, which can be  
64 further transferred into exact molecular weights and suggested elemental formulae in case of  
65 high-resolution mass spectrometry. Moreover, after chemometric evaluation of HPTLC  
66 chromatograms exposing principal component zones, it is possible to couple HPTLC *online* or  
67 *offline* with different mass spectrometric techniques for identification of the components of  
68 interest [24].

69 Propolis (bees glue) is produced by bees while adding their saliva secreted to the resinous plant  
70 exudates. Subsequently the partially digested plant material is mixed with wax. In a recent study  
71 [25], the HPTLC fingerprints of more than 100 German propolis samples from different  
72 locations were visually compared with each other and with respective propolis fingerprints of  
73 other countries. Based on this study, mainly two types of German propolis were elucidated,  
74 which had a characteristic blue or orange pattern of HPTLC zones. This means that already the  
75 visual comparison of HPTLC fingerprints, or analogously shown for DART-MS spectral  
76 fingerprints [26], could be used for differentiation without any statistical evaluation. However,  
77 some of the German propolis samples were classified as mixed type, because they had zones  
78 characteristic for both sample types, and their unambiguous assignment was challenging for  
79 analysts and additionally time-consuming. Chemometric techniques were explored in this study  
80 for their potential to support this challenging decision process on such mixed type assignments.

81 Although at the very end of our study, a Romanian propolis study by TLC and hierarchical fuzzy  
82 clustering was published [27], to our knowledge, the current study is the first one with a novel  
83 concept: Until now, there are no publications on the combined use of HPTLC and DIP-it DART-  
84 MS for fast pattern recognition and categorization of any samples by chemometric techniques.

85

86 **2. Material and methods**87 **2.1 Reagents and chemicals, sample preparation and HPTLC**

88 The newly developed HPTLC method for determination of phenolic compounds in propolis  
89 extracts (extracted with ethyl acetate) was employed [25]. Briefly, the propolis extracts were  
90 obtained from the Apicultural State Institute (Stuttgart, Germany) and were applied on HPTLC  
91 plates silica gel 60, 20 x 10 cm, as 8 mm bands. Additionally, a standard mixture was applied  
92 described elsewhere [25]. The chromatographic separation was performed in the Twin Trough  
93 Chamber 20 x 10 cm (CAMAG, Muttenz, Switzerland) with a mixture of *n*-hexane, ethyl acetate  
94 and acetic acid (5:3:1, v/v/v) up to a migration distance of 65 mm (from the lower plate edge).  
95 During separation the plate was conditioned with hydrochloric acid (37 %) contained in the  
96 second trough of that chamber. Then, the plate was dried under a stream of warm air for 3 min.  
97 For derivatization, the plate was immersed in the natural product reagent using the  
98 Chromatogram Immersion Device (CAMAG), dried again, then dipped in polyethylene glycol  
99 and documented under UV 366 nm using the TLC Visualizer (CAMAG). The plate image was  
100 captured by a Baumer Optronic DXA252 digital camera with a 12-bit per channel color depth  
101 charge-coupled device (CCD), a 100- $\mu$ m spatial resolution and an image size of 1922 x 952  
102 pixels. The following capture settings were used: 1500 ms exposure time and gain of 1. The spot  
103 amplification tool was used to optimize the visual zone intensity on the images.

104

104

## 105 **2.2 Direct Analysis in Real Time mass spectrometry (DART-MS)**

106 For registration of DART mass spectra of propolis extracts, the *DART-SVPA* ion source  
107 (IonSense, Saugus, MA, USA) was used. The *DART-SVPA* ion source was equipped with a  
108 motorized rail and the 12 DIP-it<sup>®</sup> sampler, allowing the propolis extracts on 12 glass DIP-it tips  
109 to be supplied sequentially into the ionization region with controlled speed. An additionally  
110 installed external flowmeter (Analyt-MTC) recorded the helium flow rate through the DART ion  
111 source, which was found out to be *ca.* 3.2 - 3.4 L min<sup>-1</sup> and varied only slightly with the  
112 temperature. The DART ion source was operated at 150 and 300 °C using the DART Control  
113 software (IonSense). The movement of a motorized rail was manually controlled with this  
114 software using the “move-to-the-left” and “move-to-the-right” buttons in the software program  
115 and selecting the speed of movement in the range of 0.2–10 mm s<sup>-1</sup>. The needle voltage was 4  
116 kV, and the voltages at electrodes 1 and 2 were -100 and -250 V, respectively. For operation,  
117 helium gas (purity of 99.999 %) was employed, whereas nitrogen gas (99.999 %) was used in the  
118 standby mode. The ion source was coupled to the G1956B MSD single quadrupole mass  
119 spectrometer (Agilent, Waldbronn, Germany) via the Vapor vacuum interface (IonSense). The  
120 evacuation was performed using a Diaphragm Vacuum Pump MZ 2 (Vacuubrand, Wertheim,  
121 Germany). The mass spectrometer was operated in the negative ion mode. For data acquisition  
122 and processing, the LC/MSD Chemstation B.02.01-SR1(260) software (Agilent) was used. The  
123 total ion current (TIC) was registered in the range of  $m/z$  70 - 700.

## 124 **2.3 Multivariate statistical analysis**

125 Principal component analysis (PCA) and hierarchal cluster analysis (HCA) were performed  
126 using the PLS Toolbox (demo version 5.7; Eigenvector Research, Wenatchee, WA, USA) for

127 MATLAB (version 7.8.0 R2011a); MathWorks, Natick, MA, USA). Linear discriminant analysis  
128 (LDA) was performed using SPSS 20.0 Statistics software (SPSS Inc., 2012). The data were pre-  
129 processed using the auto-scale function in MATLAB.

130 For the multivariate analysis of HPTLC data, the HPTLC plate images were exported from the  
131 winCATS software (CAMAG) to MATLAB. The images were converted into double precision  
132 (im2double). After that, the RGB-scale images were converted into grayscale images by  
133 eliminating the hue and saturations and retaining the luminance. By means of these operations, each  
134 HPTLC image was converted into the data matrix. Obtained data matrices were transferred into  
135 Microsoft Office Excel 2007 in order to generate HPTLC profiles of all samples and standard  
136 mixtures by calculating  $R_F$  values of the target zones and accompanying intensities for each  
137 sample's image. Multivariate processing (PCA, HCA and LDA) of obtained HPTLC profiles  
138 were performed by NCSS Statistical Software and MATLAB. Numerical values of variables  
139 were obtained by calculating the mean values of each zone, separately for all samples. In that  
140 case the data matrix was composed of averaged intensities on targeted  $R_F$  values as independent  
141 variables for each object considered. Chromatograms obtained in this study, have little or no  $hR_F$   
142 shifts differences in the  $hR_F$  values and chromatographic fingerprints according to visual  
143 assignment, which was further confirmed with good chemometrics models [28].

144 In case of DART-MS, the mass spectral data were directly exported from the ChemStation MS  
145 software (Agilent) into Microsoft Office Excel 2007 as CSV files. Prior to carrying out the  
146 multivariate statistical analysis, potential marker signals with highest relative abundance (*e.g.*,  
147 the  $m/z$  signal intensity values (%) of the supposed protonated molecules) were chosen.  
148 The unsupervised methods (PCA and HCA) were used for classification of propolis according to  
149 orange and blue types. PCA transforms the original, measured variables into new uncorrelated



150 variables called principal components. Cluster analysis (CA) was used to identify similarity  
151 groups between propolis samples. LDA as supervised method was applied to distinguish in  
152 groups a collection of propolis samples, having a set of cases whose group membership is  
153 already known. It was used for distinguishing among the groups and to develop a procedure for  
154 predicting group membership for new cases, finding an optimal decision rule for the  
155 classification of the groups.

### 156 **3. Results and discussion**

157 In the current study, the chemometric techniques were used as an alternative way for  
158 classification of HPTLC fingerprints of propolis extracts, and also for classification of propolis  
159 extracts based on their DART mass spectra. This double-sided approach for sample  
160 characterization increased the level of confidence because different sample characteristics  
161 (polarity, functional groups, spectral characteristics, ionization property, molecular weight, *etc.*)  
162 were employed for the characterization. Additionally, HPTLC and DART-MS provided  
163 complementary information on the nature of the compounds of interest.

#### 164 **3.1 Pattern recognition based on HPTLC fingerprints**

165 In herbal analysis, pattern recognition is usually based on the combination of hyphenated  
166 techniques with chemometric tools [29, 30], whereas the multivariate analysis of HPTLC plate  
167 images is rarely used [9, 27]. In the majority of publications the HPTLC fingerprints were only  
168 used for visual comparison, not supported by chemometric tools. Combining HPTLC with  
169 multivariate data analysis is a promising field of research in herbal analysis, in which the  
170 knowledge on important sample components can be gained and deepened.

##### 171 **3.1.1 Principal component analysis (PCA)**

172 PCA is the most often used technique in multivariate analysis, allowing eased visualization of all  
173 information contained in a data set. Using PCA as a projection method, the multidimensional  
174 data set is transformed into 2D or 3D coordinates. PCA classifies samples according to  
175 similarity, determines objects showing different properties from others (outliers), and defines  
176 important variables for classification [31]. In the current study, PCA was performed on data sets  
177 of fingerprints obtained from the HPTLC plate images. Three principal components (PCs)  
178 described 84.16 % of the total data variability. PC1 described 44.96 % of the variability, while  
179 PC2 and PC3 described 30.50 % and 8.70 %, respectively. Five samples were outside the  
180 Hotelling  $T^2$  95% probability ellipse and therefore removed from the dataset as outliers. Thus 59  
181 samples were considered out of 64 samples. There were two general clusters on the 2D PC-score  
182 (Fig. 1), which corresponded to the two groups of the orange and blue type samples. Kaempferol,  
183 naringenin and caffeic acid had a positive correlation with PC1. Both types of propolis contained  
184 chrysin and quercetin, but their concentration in the orange type samples was significantly  
185 higher. According to Fig. 1 PC2 showed a negative correlation with galangin, naringenin, caffeic  
186 acid and the unknown compound M4 (assigned as pinobanksin based on our recent MS studies  
187 [32, 33]); while apigenin and ellagic acid showed a positive correlation. Also, the HPTLC zone  
188 of chrysin was more intensively colored in orange than in blue type samples (Fig. 2), which was  
189 confirmed with multivariate data analysis. Further, galangin, kaempferol and naringenin were  
190 characteristic for the orange type propolis. To conclude, most of the used standard compounds  
191 were characteristic for orange type samples and positioned on the negative PC2-score, like the  
192 HPTLC zones of galangin and caffeic acid .

### 193 **3.1.2 Hierarchical cluster analysis (HCA)**

194 HCA divides all samples into groups (clusters) according to similarity and finds the similarity  
195 among objects in a multidimensional space, forming clusters between the nearest objects. There

196 are several ways to determine the distance among the samples in multivariate space. Cluster  
197 analysis examines the inter-point distance, and represents this distance into a two-dimensional  
198 dendrogram [34, 35]. Thus, the distance between two points in the dendrogram, determines the  
199 similarity or dissimilarity among these objects. Using cluster analysis, the samples were grouped  
200 according to similarity in a multidimensional system. The best results for HCA were obtained  
201 using the Ward method as a tool for calculating the cluster distances and applying the Euclidean  
202 distance for measuring the distance between samples. HCA was performed using the HPTLC  
203 images (chromatograms) of 59 samples, of which 27 were of the blue type, and 32 of the orange  
204 type. Three clusters at a similarity level of 20 % were obtained for the propolis samples (Fig.  
205 3A). The right cluster contained 15 blue type propolis samples; the left cluster consisted of 24  
206 orange and 1 blue type samples; and the middle cluster comprised 11 blue and 8 orange type  
207 samples. The left cluster contained samples with a high content of ellagic acid, kaempferol and  
208 quercetin, and showed a different pattern. Some of these samples are positioned on the PCs score  
209 outside or near to the Hoteling ellipse or between orange and blue groups.

210 The cluster analysis of the phenolic markers showed three clusters at a similarity level of 15 %  
211 (Fig. 3B). Apigenin, quercetin and ellagic acid formed one cluster. Apigenin and quercetin were  
212 characteristic for the blue propolis type, while the content of quercetin, which was positioned  
213 between the orange and blue cluster, was higher in the orange propolis type. Both other clusters  
214 contained phenolic markers, which were characteristic for the orange propolis type. These results  
215 were in good agreement with the results obtained in PCA.

### 216 **3.1.3 Linear discriminant analysis (LDA)**

217 LDA is the commonly used supervised method, determines the function, minimizing the ratio of  
218 within-class variance and maximizing the ratio of between-class variance [14, 31, 38].

219 Recognition and prediction abilities representing the percentage of correctly classified  
220 investigated samples during model training and cross-validation were performed. According to  
221 the Fisher criterion, the number of discriminant functions found is equal to the number of classes  
222 minus one, if the number of variables is larger than the number of classes [39]. The calculated  
223 standardized canonical coefficients identify the variables that are important for distinguishing the  
224 groups and developed a procedure for predicting a group membership for new cases, finding an  
225 optimal decision rule for the classification of a group. LDA was performed on a training set  
226 consisting of 59 propolis samples and on a test set contained additional 27 samples of other  
227 (unclear) propolis types: propolis samples of the mixed orange-blue type and foreign propolis  
228 samples with a different pattern of colored zones on the HPTLC plate. Variables with high  
229 weighting in principal components were selected for the LDA model. According to the  
230 standardized canonical coefficients (Table S-1), variables with the highest discriminating power  
231 are: caffeic acid, naringenin, apigenin and quercetin. The data obtained by all three chemometric  
232 methods were in good agreement, and the LDA results confirmed the good differentiation for  
233 both propolis types within the training set (Fig. 4 A). The overall correct classification rate was  
234 96.6 % using the original and 91.5 % using the cross validation methods (Table 1). The blue type  
235 of propolis was classified with slightly better accuracy (96.3 %) than the orange type (87.5 %).  
236 Linear discriminant scores of the studied test samples were calculated according to the equations  
237 of linear discriminant scores (Equations S-1 and S-2). Mutual projection of the test samples  
238 scores was further presented along with the scores of the training set samples (Fig. 4 B). It can be  
239 concluded that most of the foreign samples are grouped separately of both, orange and blue type  
240 propolis owed to the different flora in the foreign countries. Also, most of the unclear mixed test

241 samples are statistically assigned to the blue propolis type. The marker compounds were the  
242 same, but their content decided on the assignment to the blue or orange type sample.

### 243 **3.2 Pattern recognition based on DART-MS fingerprints**

244 The optimal temperature used for DART ionization varied mainly between 150 and 300 °C in  
245 literature, depending on the samples analyzed and analytes of interest [10]. Therefore, two  
246 different DART temperatures, *i.e.* 150 and 300 °C, were preliminarily investigated for their  
247 impact on multivariate data analysis using a limited set of propolis extracts (8 blue and 8 orange  
248 type samples previously classified by HPTLC). The intention was to determine favorable  
249 conditions for further extended classification studies. The classification based on statistical  
250 approaches and mass signal compositions of phenolic compounds failed at the temperature of  
251 150 °C due to the low signal abundance of the marker compounds and the relatively high  
252 background. The relative intensity of characteristic ions of the two propolis types was low and  
253 insufficiently for multivariate data analysis. However, using the increased temperature of 300 °C,  
254 the samples were successfully classified as either orange or blue types (Fig. 5) using the three  
255 statistical approaches (PCA, HCA and LDA), and hence, the temperature of 300 °C was used for  
256 the subsequent statistically supported pattern recognition.

#### 257 **3.2.1 Principal component analysis (PCA)**

258 PCA was performed on the initial data (relative abundance,  $m/z$  ratio) using a covariance matrix  
259 with auto scaling. This resulted in a three-component model which explained 68.96 % of total  
260 data variability. The principal component PC1 described 38.50 % of the total variation, whereas  
261 PC2 and PC3 contributed to 21.14 and 9.32 % of the total variability, respectively, which is  
262 illustrated in the 2D-score value plot and the projection of loading vectors for the first two  
263 principal components (Fig. 6). The respective  $m/z$  values of marker compounds as variables

264 have been classified according to their contributions to PC 1 and PC2, and assumptions of  
265 respective component structures have been made, where possible (Table 2). In particular, the  
266 samples of the orange propolis type, localized in the left side of the PC score plot, contained  
267 mainly components with mass signals at  $m/z$  517.1, 541.1, and 583.1. These signals could be  
268 related to glycosides or phenolic dimers. The blue type propolis samples, positioned on the right  
269 side of the PC score, implied mainly phenolic compounds with mass signals at  $m/z$  163.1, 242.1,  
270 253.1, 327.1, 343.1, and 417.1 (Fig. 6). The mass signal at  $m/z$  327.1 could be the deprotonated  
271 molecule of pinobanksin-5-methylether-3-O-acetate or pinobanksin-3-O-propionate [36]. The  
272 mass signal at  $m/z$  285.1 could be the deprotonated molecule of kaempferol, pinobanksin-5-  
273 methylether [36] or luteolin [37]. According to further ESI-MS literature [40-42] mass signals at  
274  $m/z$  163.1 and 255.1 indicate the deprotonated molecule of coumaric acid and pinocembrin or  
275 liquiritigenin, respectively. Further mass signals at  $m/z$  269.1 could be the deprotonated molecule  
276 of galangin or apigenin or pinostrobin or benzyl caffeate and at  $m/z$  271.1 of naringenin or  
277 pinobanksin. The mass signal at  $m/z$  253.1 corresponded to the deprotonated molecule of  
278 chrysin. All these DART mass signals were present in the orange propolis type and positioned on  
279 the upper left side score. As blue type assigned propolis samples in the upper middle half of  
280 score plot mainly contain flavonoids with signals at  $m/z$  269.1, and 285.1, which indicated the  
281 deprotonated molecules of galangin or apigenin, and kaempferol. Both types of propolis  
282 contained mass signals at  $m/z$  269.1, 332.1, and 449.1, located between orange and blue type  
283 clusters. In a recent high resolution DART mass spectrometry study [32], the presence of  
284 coumaric acid was confirmed in German propolis extracts, but for the  $m/z$  values of 253.1 and  
285 255.1 not only chrysin and pinocembrin were assigned, but also methoxyflavanone and

286 liquiritigenin isomers. For  $m/z$  271.1, not only naringenin but also pinobanksin was assigned.  
287 Besides, for  $m/z$  269.1 not galangin or apigenin was assigned, but pinostrobin or benzyl caffeate.

### 288 **3.2.2 Hierarchical cluster analysis (HCA)**

289 The recognition of the two propolis sorts by using cluster analysis was satisfactory. There were  
290 59 samples of propolis in total, 33 of them were orange type and 26 of them blue type. One  
291 cluster contained mostly orange type propolis samples, namely 32 orange and 4 blue type  
292 samples, while the second cluster contained 1 orange and 22 blue type samples of propolis (Fig.  
293 7 A). In the HCA dendrogram, the 59 propolis samples were clustered into two groups at a  
294 similarity level of 30 % according to their phenolic markers. The cluster of the orange type  
295 propolis samples was divided into two smaller clusters at a similarity level of 16 %. The blue  
296 type sample cluster was divided into two clusters with 15 % of similarity level. Some of the blue  
297 type propolis samples are present in the orange type propolis cluster and show similarity with  
298 them, because they contain small amounts of phenolic compounds, which were also  
299 characteristic for the orange type propolis samples.

300 According to the similarity level of 15 % in the dendrogram of the variables, there were three  
301 main clusters (Fig. 7 B). The variables of  $m/z$  517.2, 541.1 and 583.1 (top cluster in Fig. 7 B)  
302 showed similarity and appeared in the orange type samples. The second cluster group (clusters in  
303 the middle of Fig. 7 B) contained deprotonated molecules, characteristic for the orange type of  
304 propolis. The variables of  $m/z$  163.1, 242.1, 253.1, 285.1, 327.1, 343.1 and 417.1 formed the  
305 third cluster (bottom cluster in Fig. 7 B) and determined the blue type propolis samples in good  
306 agreement with the results of the PCA scoring. These results confirmed the importance of the  
307 phenolic compounds composition for the propolis classification system.

### 308 **3.2.3 Linear discriminant analysis (LDA)**

309 Similarly to LDA for HPTLC images, LDA for DART mass spectra was performed on a training  
310 set consisting of 59 propolis samples and on a test set, which contained additional 27 samples of  
311 other propolis types (11 foreign and 16 mixed orange-blue types of propolis). Recognition and  
312 prediction abilities representing the percentage of correctly classified samples of propolis during  
313 model training and cross-validation were calculated. Linear discriminant scores of test samples  
314 analyzed by DART were calculated according to the linear discriminant equations' scores  
315 (Equations S-3 and S-4). Variables with a high weight for the first three PCs (253.1, 271.1,  
316 343.1, 417.1, 517.2, 541.1, and 583.1) were chosen for the first step in LDA. According to the  
317 standardized canonical coefficients (Table S-2), the variables at  $m/z$  343.1, 517.2, 583.1 and  
318 327.1 were discriminating blue and orange propolis types. The successful separation among blue  
319 and orange type propolis samples is clearly demonstrated for the training set (Fig. 8A), and the  
320 mutual projection of the test sample scores was further presented along with the scores of the  
321 training set samples. Correct classification (96.6%) was obtained by using the original and 94.9  
322 % using the cross validation method. The orange type was classified with slightly better accuracy  
323 (97.0 %) than the blue type (92.3 %, Table 3). Most of the mixed orange-blue type samples in the  
324 test set could clearly be assigned to the orange and blue propolis cluster according to the training  
325 set. Also, the majority of the foreign propolis samples in the test set could be classified as orange  
326 propolis type and only three were assigned to the blue propolis type (Fig. 8 B). Similar to the  
327 HPTLC fingerprints, some of the foreign samples could not be assigned as these showed a  
328 different pattern owed to the different flora in the respective country.

### 329 **3.3 Benefit of combined pattern recognition by DART-MS and HPTLC**

330 To conclude, the combination of HPTLC fingerprints with DART mass signals led to the  
331 successful separation of orange and blue propolis samples and turned out to be a fast, efficient



332 and low-cost method for profiling and characterization of natural products such as propolis. The  
333 two fingerprint techniques were considered as complementary to each other with regard to the  
334 information they provide and its combination improved the reliability of the assignments  
335 obtained. According to the PCA model obtained by the HPTLC fingerprint, galangin, kaempferol  
336 and naringenin were characteristic for the orange type propolis, while gallic acid and apigenin  
337 are characteristics for blue propolis. Also, chrysin was more intensively colored in orange than in  
338 blue type samples. The PCA model of the HPTLC fingerprint described more of the total  
339 variability with the first three components than the DART fingerprint model. HCA showed a  
340 good separation between both propolis types, and all variables present in the orange type formed  
341 one cluster, characteristic for the orange type. Therein, galangin, kaempferol and chrysin were  
342 positioned close to each other in the dendogram and highly characterized the orange type.  
343 According to the standardized canonical coefficients in the LDA model, variables with the  
344 highest discriminating power were: caffeic acid, naringenin, and quercetin. Pattern recognition  
345 based on the DART fingerprint determined mass signals characteristics for the fingerprint of  
346 propolis. The PCA model showed that samples of the orange propolis type mainly contained  
347 components with mass signals at  $m/z$  517.2, 541.1, and 583.1. The opposite of that, other mass  
348 signals such as 163.1, 242.1, 253.1, 327.1 and 343.1, were characteristic for the blue propolis  
349 type. Similarly to PCA, the cluster analysis showed a separated cluster with  $m/z$  517.1, 541.1 and  
350 583.1 for the orange type samples. According to the standardized canonical coefficients in the  
351 LDA model, the variables at  $m/z$  343.1, 517.2, 583.1 and 327.1 were discriminating blue and  
352 orange propolis types.

#### 353 **4. Conclusions**

354 A novel combination of analytical methods, namely HPTLC and DART-MS, was introduced for  
355 multivariate data analysis (PCA, HCA and LDA). The combination significantly reduced the

356 relevant effort for pattern recognition and categorization of samples which turned out to be time-  
357 consuming especially for unclear sample assignments. Exemplarily, 64 propolis samples from  
358 different locations were analyzed, and then the trained system was applied to 27 unknown  
359 samples. As results of this statistically supported classification of 91 samples, a profound  
360 decision on the assignment of critical, mixed or foreign sample types was obtained. HPTLC and  
361 DART-MS proved to be beneficial methods in combination with chemometric techniques as  
362 time-dependent variances were mitigated by these fast fingerprint techniques. This novel  
363 approach shows great potential for further improvements and integration of other statistical  
364 techniques and image evaluation tools. The ease of analysis and the analytical capacity offered  
365 by HPTLC and DART encourage their adoption as a common powerful analytical fingerprint  
366 technique, especially in combination with chemometric tools for pattern recognition. Their  
367 combination could also be transferred to issues of biological and geographical origin of food  
368 products. For future studies, the coupling of DART with high-resolution mass analyzers is highly  
369 promising for the DART-MS-based fingerprinting, as it will allow not only finding the  
370 characteristic  $m/z$  values, but also suggesting the elemental formulae for them for identifying the  
371 marker compounds, typical for certain types of samples, with the higher degrees of confidence.  
372 This will strengthen the capabilities of the presented combined HPTLC/DART-MS approach,  
373 described in the current study.

374

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381 Millipore, Darmstadt, Germany, for equipment and plates. This work was financially supported  
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383

#### 384 **Appendix A. Supplementary data**

385 Supplementary data associated with this article can be found, in the online version, at  
386 <http://dx.doi.org/10.1016/j.chroma.xxx>. Tables S-1 and S-2 show standardized canonical  
387 coefficients of variables chosen for LDA analysis of HPTLC fingerprints as well as of DART-  
388 MS fingerprints. Equations S-1, S-2, S-3, and S-4 depict equations of linear discriminant scores  
389 for the test or training set for HPTLC fingerprints as well as for DART-MS fingerprints.

390

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- 451

451

452 **Table 1**

453 HPTLC: LDA classification of propolis extracts from the training set of orange and blue types.

		Classification results <sup>a,c</sup>			454
		Class	Predicted Group Membership		Total
			Blue type	Orange type	
Original	Count	Blue type	26	1	27
		Orange type	1	31	32
	%	Blue type	96.3	3.7	100.0
		Orange type	3.1	96.9	100.0
Cross-validated <sup>b</sup>	Count	Blue type	26	1	27
		Orange type	4	28	32
	%	Blue type	96.3	3.7	100.0
		Orange type	12.5	87.5	100.0

a. 96.6 % of original grouped cases correctly classified.

b. Cross validation is done only for those cases in the analysis. In cross validation, each case is classified by the functions derived from all cases other than that case.

c. 91.5 % of cross-validated grouped cases correctly classified.

455

456 **Table 2**

457 Classification and interpretation of characteristic signals in DART mass spectra of two propolis

458 types found by principle component analysis

#	Variable, <i>m/z</i>	Impact of variable on PC	Assumed marker compounds*	Characteristic for propolis type
1	163.1	positive (PC1)	coumaric acid	blue and orange
2	242.1	negative (PC2)	unknown phenolic compound	blue
3	253.1	positive (PC1), positive (PC2)	chrysin or methoxyflavanone	blue and orange
4	255.1	positive (PC2)	pinocembrin or liquiritigenin	orange
5	269.1	positive (PC2)	galangin, apigenin, pinostrobin or benzyl caffeate	blue and orange
6	271.1	positive (PC2)	naringenin or pinobanksin	orange
7	285.1	positive (PC2)	kaempferol, luteolin, pinobanksin-5-methyl-ether	blue
8	327.1	positive (PC1)	pinobanksin-5-methylether-3-O- acetate or pinobanksin-3-O- propionate	blue
9	332.1	positive (PC2)	unknown phenolic compound	blue and orange
10	343.1	positive (PC1)	unknown phenolic compound	blue
11	417.1	positive (PC1)	unknown phenolic compound	blue
12	449.1	positive (PC2)	unknown phenolic compound	blue and orange
13	517.2	negative (PC1)	glycosides or phenolic dimer	orange
14	541.1	negative (PC1)	glycosides or phenolic dimer	orange
15	583.1	negative (PC1)	glycosides or phenolic dimer	orange

459 \*Deprotonated molecules were considered as source for respective *m/z* signals.



460

461 **Table 3**

462 DART-MS: LDA classification of propolis extracts from the training set of orange and blue

463 types.

		Classification Results <sup>a,c</sup>			
		Class	Predicted Group Membership		Total
			Blue type	Orange type	
Original	Count	Blue type	24	2	26
		Orange type	0	33	33
	%	Blue type	92.3	7.7	100.0
		Orange type	0	100.0	100.0
Cross-validated <sup>b</sup>	Count	Blue type	24	2	26
		Orange type	1	32	33
	%	Blue type	92.3	7.7	100.0
		Orange type	3.0	97.0	100.0

a. 96.6 % of original grouped cases correctly classified.

b. Cross validation is done only for those cases in the analysis. In cross validation, each case is classified by the functions derived from all cases other than that case.

c. 94.9 % of cross-validated grouped cases correctly classified.

465

465

466 **List of figures**

467 **Fig. 1.** Clustering on a 2D PC-score (A) and loading plot (B) based on zone intensities of the  
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469 **Fig. 2.** HPTLC fingerprint [25]: Characteristical zone pattern for blue and orange types of  
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481 signals (see Fig. 5).

482 **Fig. 8.** Linear discriminant scores for orange and blue propolis types (A) and mutually the  
483 additional set of samples of foreign and mixed orange-blue types (B) based on selected DART  
484 mass signals.

## Highlights

- Novel combination of two fast fingerprint techniques provided complementary information
- Multivariate data analysis improved the differentiation of natural products
- HPTLC and DART-MS for fast pattern recognition and categorization of samples by chemometrics
- HPTLC provided information on polarity, functional groups and spectral properties
- DIP-it DART-MS furnished information on elemental formulae of principal components

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Figure 1

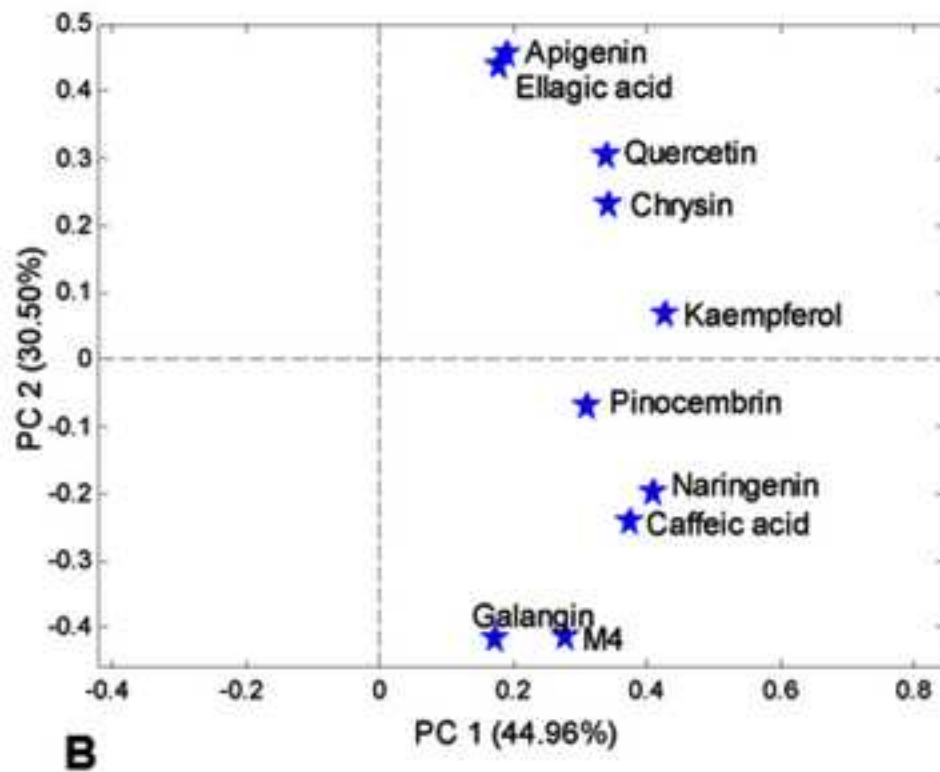
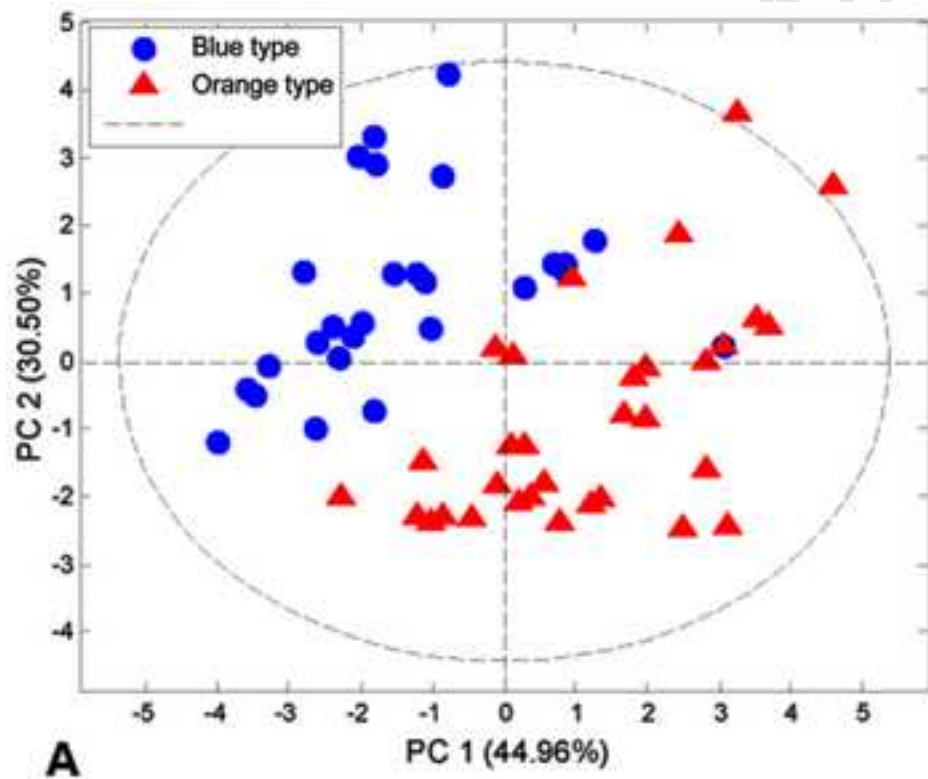


Figure 2

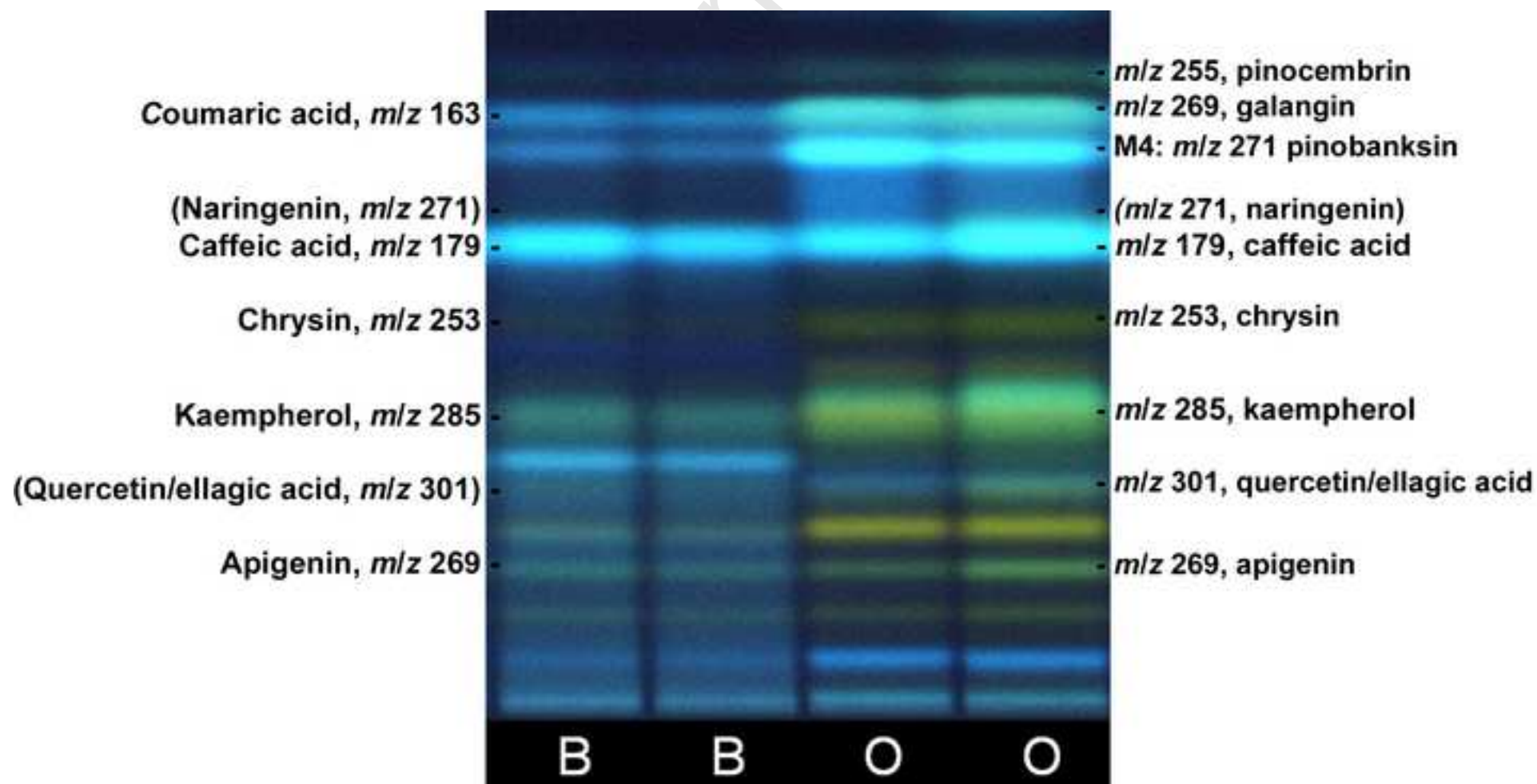
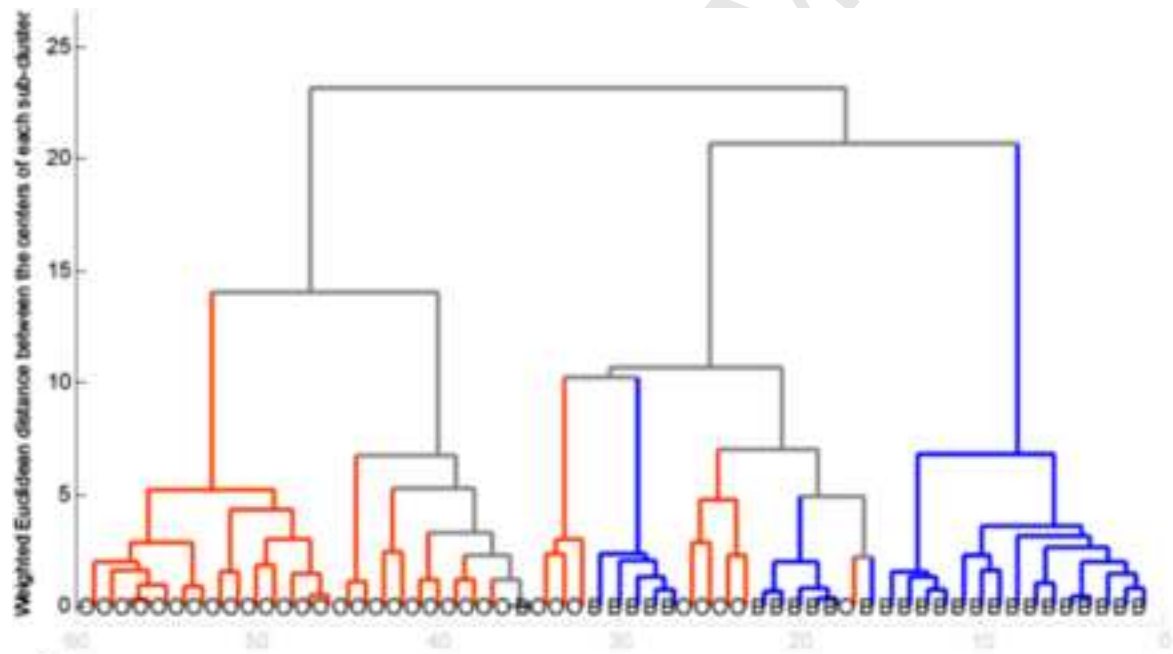
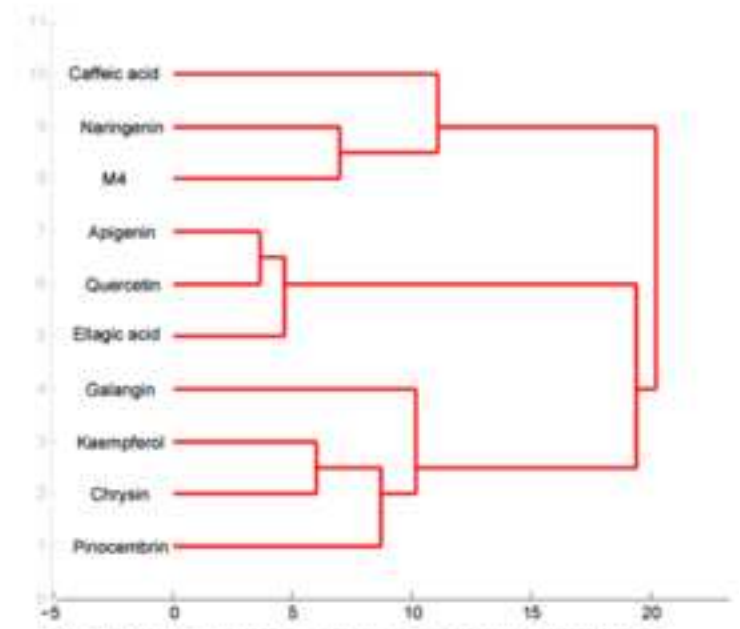


Figure 3

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A



B

Figure 4

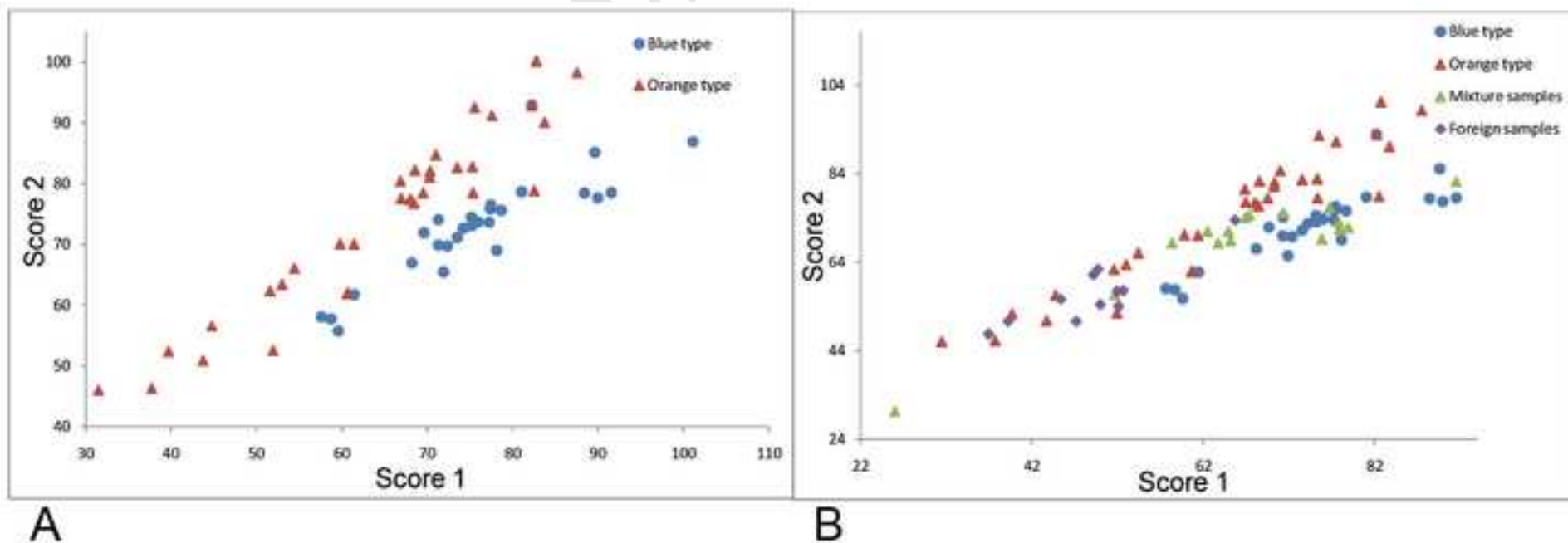


Figure 5

trip

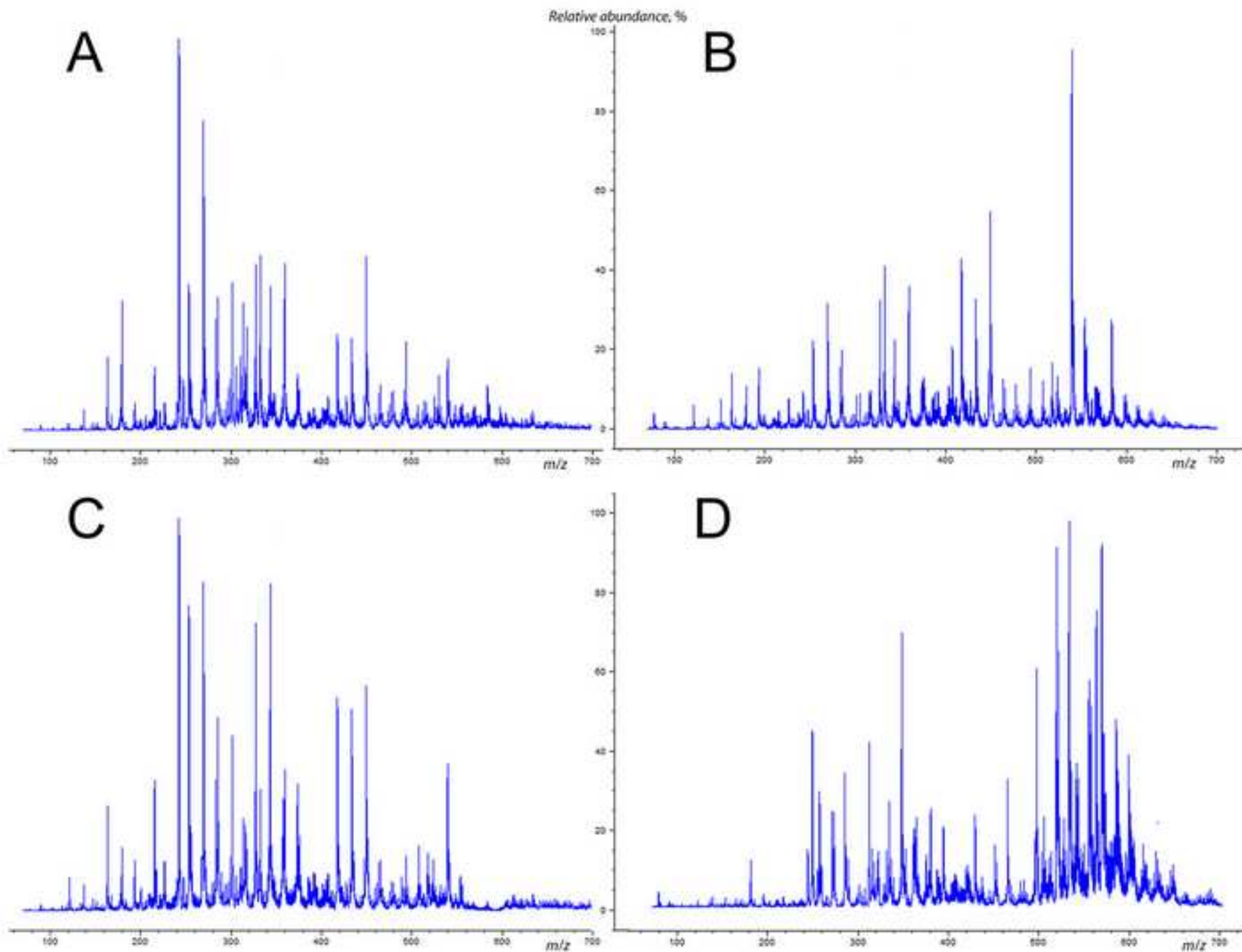
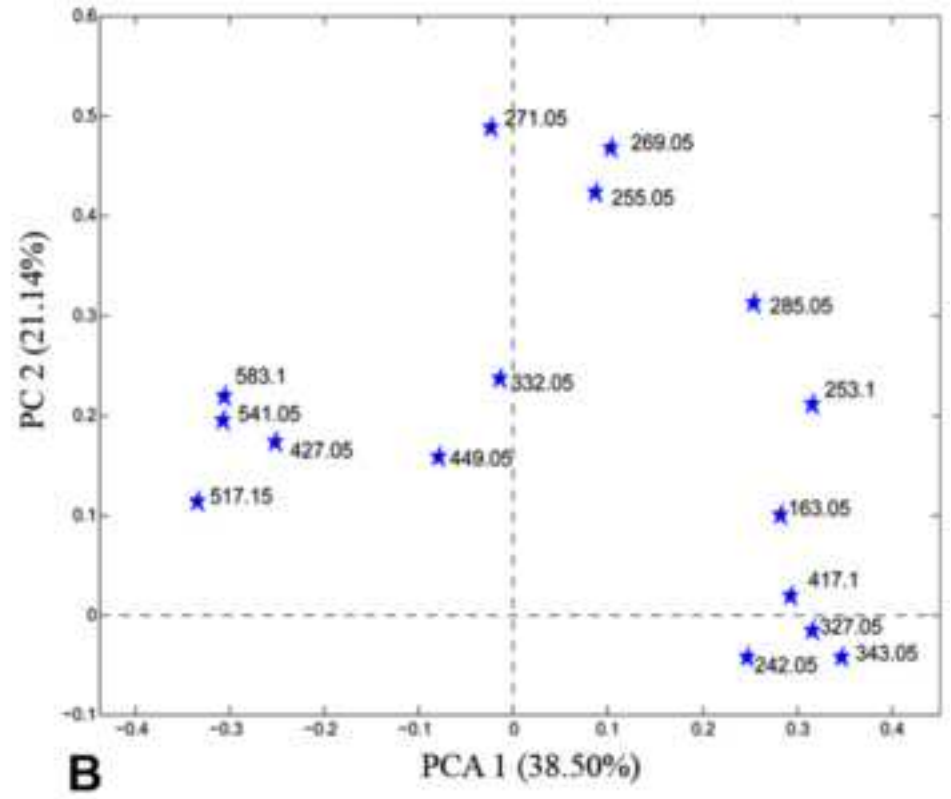
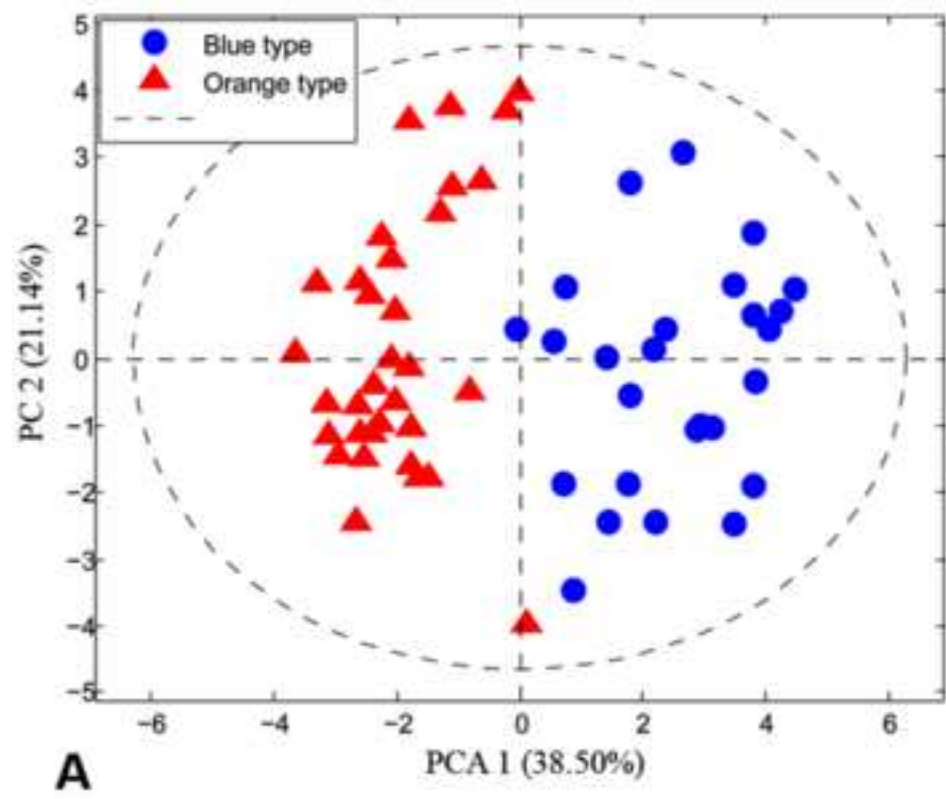




Figure 6



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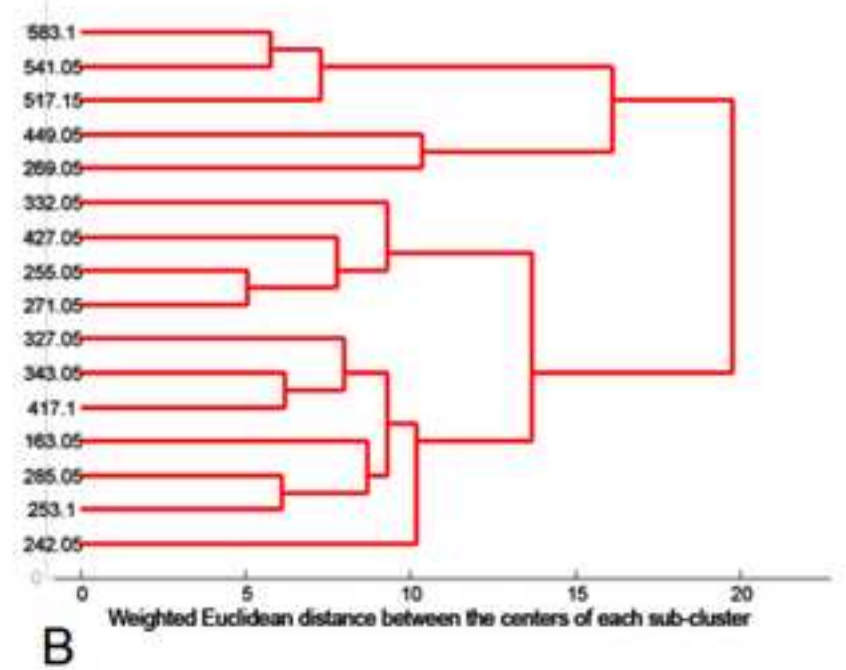
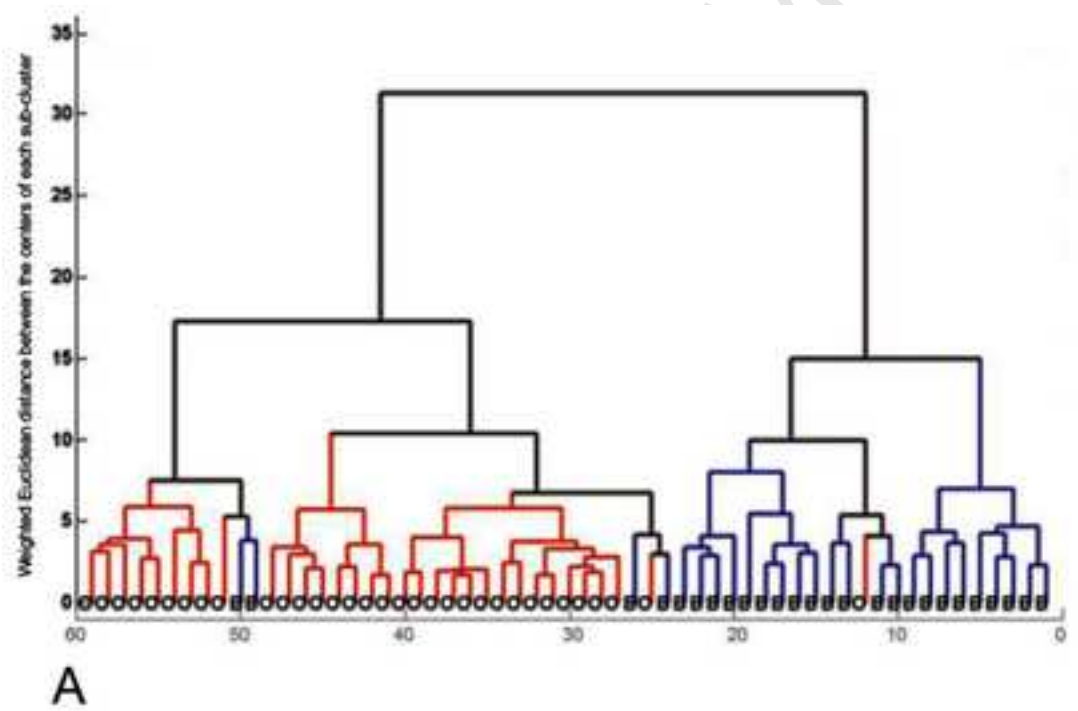


Figure 8

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